

RICE UNIVERSITY'S  
**BAKER INSTITUTE**  
FOR PUBLIC POLICY



2014 ANNUAL REPORT

## Mission

*Rice University's Baker Institute for Public Policy is a nonprofit, nonpartisan think tank in Houston, Texas. The institute produces independent research on domestic and foreign policy issues with a focus on providing decision-makers in the public and private sectors with relevant and timely policy assessments and recommendations.*

*By bringing statesmen, scholars and students together, the institute broadens the content and reach of its policy assessments and recommendations, and provides an open forum for debate and discussion.*

*The institute educates students on public policy issues and related subjects by offering courses at Rice University and sponsoring student intern and mentoring programs at home and abroad.*

**04.22.14** *Jim Krane, Wallace S. Wilson Fellow in Energy Studies, discusses his research with Baker Institute members at the annual Spring Roundtable Dinner.*



*This annual report encompasses the activities of the institute for fiscal year 2014 from July 1, 2013, to June 30, 2014.*

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**10.27.13** *Honorary chair James A. Baker, III, with a section of the Berlin Wall, its graffiti restored by art conservators in time for the Baker Institute's 20th anniversary celebration. The section, donated to Rice University in 2000, serves to commemorate Baker's role in the German reunification process as U.S. secretary of state.*

Using last year's 20th anniversary as momentum, we have embarked on a new period of considerable growth for Rice University's Baker Institute for Public Policy. This planned expansion is manifesting itself in many ways, including increased academic offerings, collaborations and personnel.

**Academic Offerings:** In recent months, the Baker Institute has agreed to partner with Rice's School of Social Sciences on a new Master's Degree in Global Affairs. This will be the first time the institute has participated formally in the academic endeavors of Rice University. The master's program will feature classes taught by Baker Institute fellows and scholars. Students, meanwhile, will secure internships in various government, nonprofit and policy organizations.

**Collaborations:** Collaborations with national and international research partners continue to grow in numbers and in scope across our research programs. During the past year, we have worked with the Belfer Center at Harvard University, Baylor College of Medicine, the Joint Committee on Taxation, UNIESP in Brazil, the Economic Cooperation Foundation in Israel, the Center for Research and Teaching in Economics in Mexico, the Institute of Energy Economics in Japan, countless academic departments at Rice University and many others.

**Personnel:** This past year the institute was able to add several researchers and staff positions in support of our strong programs. New research fellows and scholars were added in our energy, Middle East and health programs. In addition to a strong existing class of postdoctoral fellows, new ones were hired in energy, disease and poverty, and drug policy. In support of these research areas, new staff was hired in areas such as fundraising, communications and administration.

During our period of future growth, we will continue to follow our foundational principle of trustworthy nonpartisan policy analysis. We will also continue to analyze our research programs to determine where growth is possible in potential new areas of policy.

Because of the strong backing that we receive from so many supporters, the future looks bright for the Baker Institute. We hope you will continue to be involved with us on this journey.

*The Honorable James A. Baker, III  
Honorary Chair*



**09.17.13** *Baker Institute founding director Edward P. Djerejian answers students' questions about the crisis in Syria after participating in a panel discussion organized by the Baker Institute Student Forum.*



Throughout the past year, my colleagues and I have strived to strengthen our research programs, to expand our outreach to national and international audiences, to deepen our partnership with the Rice community and to broaden our network of benefactors. Thanks to the steadfast support of our friends and to the generosity of our donors, our research programs continue to grow and our work as a global public policy institute consistently reaches the highest levels in the public and private sectors. This year again, the institute rose in overall rankings as the 11th best university-based think tank in the world.

The Baker Institute Center for Energy Studies was named the 5th best global energy and resource policy think tank. Under the direction of Kenneth B. Medlock, Ph.D., the center has grown to include Jim Krane, an expert on energy and geopolitics. The Mexico Center, launched last fall, hosted an extensive series of events, highlighting its binational research focus on the issues of trade, health, public safety and energy. In June, we were honored to receive an endowment gift from Secretary Baker and Mrs. Baker, which has been directed to support the director of the Mexico Center, Tony Payan. Our third established research center, the Center for the Middle East, has also had a productive year collaborating with academics and policymakers in Houston, Washington, D.C., and beyond to address key regional issues, including Israeli-Palestinian negotiations, public diplomacy, the threat of Islamic radicalism and the crisis in Iraq and Syria, women's rights and economic development in the Arab Gulf countries. In health policy, the work of Vivian Ho, Ph.D., on health economics and the contributions from distinguished practitioners including Dr. Peter Hotez, Dr. Hagop Kantarjian and Dr. John Mendelsohn have increased the Baker Institute's role in local, national and international conversations on critical health challenges. We continue to lend a meaningful voice to policy formulation in other key issues such as China, space policy, international economics, public finance, and science and technology.

For our 20th anniversary gala we were honored to host both the 43rd and 41st Presidents of the United States, George W. Bush and George H. W. Bush. The event featured an exceptionally candid conversation on foreign and domestic policy with President George W. Bush and raised over \$3.4 million in support of our mission as a nonpartisan, independent resource for decision-makers, scholars, students and the public. Our celebration of the institute's 20th anniversary also focused on the next generation of Baker Institute supporters with our popular "Club Berlin" event. Building on the evening's success we have formed an energetic advisory committee, which created an "Emerging Leaders" group to complement the Associate Roundtable program.

We look forward to seeing you often at Baker Institute events in the coming year and wholeheartedly thank all of you for your interest in our work.

*The Honorable Edward P. Djerejian*  
*Founding Director*



**04.01.14** *Neal F. Lane, senior fellow in science and technology policy, speaks at a Civic Scientist Lecture Series panel discussion on renewing America's commitment to innovation and science.*

### RESEARCH PROGRAMS

#### Center for Energy Studies

- Electricity Policy
- Energy and Cybersecurity
- Energy and Environment
- Geopolitics of Energy
- Global Natural Gas
- Global Oil

#### Center for the Middle East

- Conflict Resolution
- Regional Security
- Political Economy of the Gulf
- Women and Human Rights in the Middle East

#### Mexico Center

- Trade
- Energy
- Rule of Law
- Politics in Mexico
- Human Mobility

#### China Studies

#### Drug Policy

#### Health Policy Forum

- Health Economics Program
- Disease and Poverty
- Health and Technology Policy

#### International Economics

#### Latin America Initiative

- Americas Project
- Vecinos Lecture Series

#### Politics and Elections

#### Religion and Public Policy

#### Science and Technology Policy

- Civic Scientist Program
- International Stem Cell Policy Program
- Medicine, Research & Society Public Policy Issues Series

#### Space Policy

#### Tax and Expenditure Policy

### LECTURE SERIES

Chevron Excellence in Leadership Energy Lecture Series

Robert A. Mosbacher Global Issues Series

Shell Distinguished Lecture Series

### STUDENT PROGRAMS

French Institute of International Relations

Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program

Moscow Summer Intern Program

Public Diplomacy and Global Policymaking in the 21st Century

## FELLOWS AND SCHOLARS

### Robert Curl

*Tesla Global Forum's White Dove Award  
Houston Technical Center Lifetime Achievement  
Award in Nanotechnology*

### Peter Hartley

*Named president-elect of the International  
Association for Energy Economics*

### Peter Hotez

*Named chair of the Miguel Aleman Foundation's  
Health Program  
Texas Children's Hospital International  
Recognition Award*

### Moramay López Alonso

*Conference on Latin American History's Mexican  
History Book Prize*

### David Mares

*Elected to the Council on Foreign Relations*

### Elena Marks

*Named president and CEO of the Episcopal  
Health Foundation*

### Deepak Srivastava

*BayBio Pantheon Award for Scientific  
Achievement  
Circulation Research's Best Manuscript Award*

## STUDENTS

### Hira Baig '14

*Harry S. Truman Scholarship*

### Zachary H. Bielak '15

*Morris K. Udall Scholarship*

### Monica Matsumoto '14

*Fulbright Scholarship*

## BOOKS

### Kristian Coates Ulrichsen

*"The First World War in the Middle East"*

*Chapter on "Exclusive Migrant Policies in  
Comparative Perspective: The Case of the Gulf  
Cooperation Council States" in "Demography  
and Migration"*

*Chapter on "Globalization and the Arab Spring"  
in "Citizenship in Transition: New Perspectives  
on Transnational Migration between the Middle  
East and Europe"*

*Chapter on "Bahrain's Uprising: Domestic  
Implications and Regional and International  
Perspectives" in "The New Middle East: Protest  
and Revolution in the Arab World"*

*Chapter on "Domestic Implications of the Arab  
Uprisings in the Gulf" in "The Gulf States and the  
Arab Uprisings"*

### Vivian Ho

*Chapter on "Learning by Doing" in  
"Encyclopedia of Health Economics, Vol. 2"*

### Peter Hotez

*"Manson's Tropical Diseases, 23rd Edition"  
"Feigin and Cherry's Textbook of Pediatric  
Infectious Diseases, Seventh Edition"*

### David Mares

*Chapter on "Obama et l'Amerique latine:  
combattre les radicaux a l'interieur et les  
terroristes a l'etranger" (Obama and Latin  
America: Building a Policy While Fighting  
Radicals at Home and Terrorists Abroad) in  
"Obama et le monde: Quel leadership pour les  
États-Unis?"*

### George Zodrow

*Edited volume, "Taxation in Developing  
Countries"*



**02.13.14** *Kirstin Matthews, fellow in science and technology policy, and Kristian Coates Ulrichsen, fellow for the Middle East, lead a class discussion on the culture and history of the Middle East. The course is part of the institute's Public Diplomacy and Global Policymaking in the 21st Century Program, organized around a student conference with undergraduates from Rice and Qatar during spring break.*

### 2013–2014 FELLOW/SCHOLAR–TAUGHT COURSES

ARCH 433	The Cullinan Seminar	ECON 502	Macroeconomic/Monetary Theory I
ARCH 412	Advanced Seminar in Architecture	ECON 505	Macroeconomic/Monetary Theory II
ARCH 501	Core Design Studio I	ECON 522	Public Economics: Tax Policy
ARCH 602	Architectural Problems	ECON 547	Energy Economics II
ARCH 612	Advanced Seminar in Architecture	ECON 593	Macro Workshops
ARCH 702	Pre-Thesis Preparation	ESCI 103	Field Trips for the Earth
ASIA 211	Introduction to Asian Civilizations	ESCI 107	Oceans and Global Change
ASIA 438	Global Environmental Media	ESCI 506	Carbonate Depositional Systems
ASIA 439	Transnational Asian Media	ESCI 516	Seminar: Topics on Carbonates
ASIA 401	Independent Study	GLHT 510	Seminar in Tropical Medicine
BIOC 447	Experimental Biology and the Future of Medicine	HIST 228	Modern Latin America
BIOE	Seminar in Tropical Medicine	HIST 291	20th-Century American Presidents
COLL 200	Teaching Practicum, Martel	HIST 302	Traditional Chinese Culture
COMP 327	Introduction to Computer Security	HIST 313	Modern Mexico
COMP 482	Design and Analysis of Algorithms	HIST 341	Pre-Modern China
COMP 515	Advanced Compilation for Vector Parallel Processors	HIST 342	Modern China
COMP 527	Computer Systems Security	HIST 352	History of the Cold War
ECON 201	Microeconomics I	HIST 378	Modern Arab History
ECON 301	Microeconomics II	HIST 425	U.S. Conservation Movement
ECON 303	Macroeconomics	HIST 491	Middle East Sectarianism
ECON 516	Empirical Microeconomics	HIST 505	The Atlantic Slave Trade
ECON 421	International Finance	HIST 508	Modern Latin America
ECON 437	Energy Economics	HIST 572	America in the Middle East
ECON 439	Torts, Property and Contracts	HIST 591	Graduate Reading
ECON 447	Energy Economics II	HIST 594	America and the World
ECON 450	World Economic and Social Development	HIST 800	Ph.D. Research
ECON 452	Religion, Ethics and Economics	HONS 470	Undergrad Scholars Program
ECON 460	International Development	HUMA 280	Poverty, Justice and Human Capabilities
ECON 479	Economic Modeling and Public Policy	LASR 158	Introduction to Latin American Studies
ECON 481	Health Economics	MANA 498	Independent Study
ECON 483	Public Finance: Tax Policy	MLSC 536	Traditional Chinese Culture
ECON 484	Public Goods	MLSC 538	Our Changing Planet
		MLSC 701	Capstone II
		NSCI 511	Science Policy and Ethics

**09.25.13** *As part of the institute's 20th anniversary lecture series, George Zodrow (left), Baker Institute Rice faculty scholar, and John Diamond (right), Edward A. and Hermena Hancock Kelly Fellow in Public Finance, examine the economic effects of tax reform.*



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|----------|--|----------|--|
| POLI 211 | Introduction to International Relations  | SOCI 328 | Supervised Research II: Religion and Public Life             |
| POLI 305 | Directed Reading I                       | SOCI 403 | Independent Study  |
| POLI 306 | Directed Reading II                      | SOCI 412 | Perspectives on Religious Tolerance in an Intolerant Age     |
| POLI 337 | Public Policy and Bureaucracy            | SOCI 493 | Directed Honors Research                                     |
| POLI 338 | Policy Analysis                          | SOCI 580 | Classical Theory   |
| POLI 352 | The Politics and Culture of Mexico       | SOCI 582 | Quantitative Data Analysis I                                 |
| POLI 378 | Politics of American National Security   | SOCI 101 | Introduction to Sociology                                    |
| POLI 405 | Senior Thesis                            | SOCI 403 | Independent Study  |
| POLI 440 | Research Seminar on Public Policy        | SOCI 493 | Directed Honors Research                                     |
| POLI 457 | Conditions of Democracy                  | SOCI 605 | Non-Thesis Graduate Research                                 |
| POLI 458 | Gender and Politics in the Middle East   | SOCI 606 | Thesis Research  |
| POLI 473 | The Craft of Intelligence                | SOCI 609 | Graduate Independent Study                                   |
| POLI 501 | Social Scientific Thinking II            | SOCI 610 | Professionalization Workshop                                 |
| POLI 593 | Directed Reading—American Politics       | SOCI 700 | Dissertation Research  |
| POLI 595 | Directed Reading—International Relations | UNIV 312 | Public Diplomacy and Global Policymaking in the 21st Century |
| POLI 597 | Directed Reading—Comparative Politics    |          |  |
| POLI 599 | Teaching Political Science               |          |  |
| POST 201 | Introduction to Public Policy            |          |  |
| RELI 223 | Qur'an and Commentary                    |          |  |
| RELI 348 | Christianity and Islam in Africa         |          |  |
| RELI 356 | Major Issues in Contemporary Islam       |          |  |
| RELI 401 | Independent Study                        |          |  |
| RELI 441 | Magic and Popular Religion               |          |  |
| RELI 521 | Advanced Study of Islam                  |          |  |
| RELI 523 | Independent Study                        |          |  |
| RELI 530 | Pedagogy Practicum                       |          |  |
| RELI 536 | Christianity and Islam in Africa         |          |  |
| SOCI 327 | Religion and Public Life                 |          |  |
| SOCI 327 | Gender and Science                       |          |  |
| SOCI 328 | Supervised Research: Gender and Religion |          |  |

**13** *research programs*

**26** *rice scholars*

**44** *student interns*

**60** *events*

**71** *fellows and policy researchers*

**107** *blog posts*

**131** *classes taught*

**182** *publications*

**185** *countries reached on the web*

**3,975** *media citations*

**5,508** *followers on social media*



On Nov. 8, 2013, 175 guests gathered to celebrate the Baker Institute's 20th anniversary at a black-tie gala on the campus of Rice University.

The institute was privileged to host two former U.S. presidents at the event: George H.W. Bush and George W. Bush. The Honorable James A. Baker, III, and Rice President David Leebron made introductory remarks, and founding director Ambassador Edward P. Djerejian expressed his gratitude to those who have contributed to the institute's growth. "Our greatest legacy will perhaps be the nurturing of the next generation of students, scholars and leaders," the ambassador said.

Following his remarks, the ambassador presented the James A. Baker III Prize for Excellence in Leadership, an award that recognizes nationally and internationally renowned individuals for outstanding achievements in government, business, science, education or philanthropy. The 2013 prize was presented to the Honorable Hushang Ansary for his many years of distinguished service in the public and private sectors. Mr. Ansary and his wife, Shahla, were also recognized as the 20th anniversary chairs.

The program was highlighted by a conversation with George W. Bush, moderated by Ambassador Djerejian, on "The Challenges of the 21st Century," in which the president touched on his relationships with foreign leaders and offered his perspectives on economic recovery and immigration.

Houston's young professionals continued the celebration on the following evening with food, drinks and music at "Club Berlin," named to commemorate Secretary Baker's role in the fall of the Berlin Wall and the reunification of Germany in 1989. The gala venue was transformed into a sleek nightclub where partygoers packed the dance floor and enjoyed late-night snacks prepared by James Beard Award-nominated chefs. Jenna Bush Hager and Henry Hager served as Club Berlin honorary co-chairs.

The gala and Club Berlin capped a yearlong series of anniversary events highlighting the institute's programs and research. In all, it was a fitting tribute to Secretary Baker's vision for a nonpartisan policy center dedicated to incisive, innovative research — and to the Baker Institute's remarkable two-decade ascent to the highest ranks of global think tanks.



**11.09.13** Revelers at Club Berlin hit the dance floor in their best “punk chic” attire. Screens mounted on the walls of the tent showed a live stream of tweets and Instagram photos posted by guests, while a Houston artist created real-time digital paintings reflecting the event’s graffiti motif.



**11.09.13** Jenna Bush Hager (center), honorary chair of Club Berlin, is pictured here with event co-chairs (from left) Molly Ward, Cody Sutton and Kristen Nix, as well as Ryan Kirksey, director of operations and finance at the institute.

“Although we hoped for success when we founded the institute in 1993, our progress has exceeded our wildest expectations,” said honorary chair James A. Baker, III. “It is my real hope that the institute will remain an important part of this great university for decades to come.”



**11.09.13** Club Berlin partygoers help themselves to late-night bites provided by Houston’s top chefs, including caviar, charcuterie, fresh seafood and lavish desserts.



**11.09.13** New York-based DJ Kiss works the turntables at Club Berlin, keeping the party going well into the early hours.





**11.08.13** In his welcoming remarks, James A. Baker, III, thanked donors for their support and reminisced about a young George W. Bush. "He was a very special individual destined to succeed in whatever field he chose," Baker said.



**11.08.13** The Honorable Hushang Ansary (left) receives the James A. Baker III Prize for Excellence in Leadership at the institute's 20th Anniversary Gala. Founding director Edward P. Djerejian (center) presented the award to Ansary and his wife, Shahla (right).



**11.08.13** Former President George W. Bush (center), the keynote speaker at the institute's 20th Anniversary Gala, attends a private reception with gala chairs (from left) Bucky Allshouse, John Eddie Williams, Sheridan Williams, Cynthia Allshouse, Robert "Bobby" Tudor and Phoebe Tudor.



**11.08.13** Rice University President David Leebron delivers welcoming remarks at the Baker Institute's 20th Anniversary Gala.



**11.08.13** Former President George W. Bush participates in a Q&A with founding director Edward P. Djerejian on "Addressing the Challenges of the 21st Century."

More than three years after the start of the so-called Arab Spring, events in the region continue to mark it as one of the most troubled in the world. The deterioration of the security situation in the region has led to speculation that the post-World War I political order established in the Middle East is being upended. Leaders from the Maghreb to the Gulf countries and beyond face a new set of political and socio-economic challenges and are reacting to them in different ways. The role of U.S. policymakers and academics now is to understand the rising pressures for reform and change — and of Islamic radicalism — what they mean for the countries of the region and outside powers, and to articulate policies toward the Middle East that adapt to the emerging shape of the region.

This year, the Baker Institute **Center for the Middle East** addressed critical regional policy questions through distinct, collaborative research programs. In April, the center published its first joint report. The collection of case studies analyzed the impact and implications of the Arab Spring across five issues — women’s political participation in Egypt and Tunisia; Israeli–Palestinian conflict resolution; the role of Arab Gulf countries; the efficiency of regional national oil

companies; and the use of social media in the Syrian conflict. The study was released at the institute’s Spring Roundtable Dinner, which featured a panel discussion with the authors.

The Israeli–Palestinian **Conflict Resolution Program** continued its work with Baker Institute fellows Yair Hirschfeld and Samih Al-Abid, who organized an expert workshop in Jerusalem on public diplomacy strategies to bolster popular support for negotiations. The working groups, under the direction of Edward P. Djerejian, the institute’s founding director and former U.S. ambassador to Syria and to Israel, published the report “Changing Minds, Making Peace: U.S. Public Diplomacy Strategy in Support of an Israeli–Palestinian Two–State Solution.”

Djerejian also spoke to a variety of local, national and international audiences on current events in the Middle East. In fall 2013, he visited the Georgetown University School of Foreign Service where he delivered an address, “Reflections on a Career in Diplomacy,” to a group of students, faculty and board members. In March, Ambassador Djerejian presented a talk on U.S. policy in the Middle East under the auspices of The University of Texas School of Law and the James A. Baker III Chair in the Rule of Law



**04.22.14** *At a panel discussion for the Spring Roundtable Dinner, the institute's Middle East experts (from left) Kristian Coates Ulrichsen, fellow for the Middle East; Andrew Bowen, scholar for the Middle East; Ben Stevenson, policy assistant to the founding director; Jim Krane, Wallace S. Wilson Fellow in Energy Studies; and Marwa Shalaby, director of the Women and Human Rights in the Middle East Program, assess the impact of the Arab Spring.*



in World Affairs. Djerejian also appeared in numerous media outlets throughout the year, including CNN, C-SPAN, Al Jazeera, Houston Public Media and Bloomberg, and participated in a Council on Foreign Relations online podcast.

The Baker Institute Fellow for the Middle East, Kristian Coates Ulrichsen, wrote extensively on the influence of Arab Gulf states in regional and international politics. His January policy report, “The Gulf States and the Rebalancing of Regional and Global Power,” assessed the changing roles of Saudi Arabia, Qatar and the United Arab Emirates regarding trade, financial flows and foreign direct investment in light of interregional realignments with emerging economies across the world. Coates Ulrichsen lectured on the subject via video-link to regional specialists at the State Department’s Foreign Service Institute in April 2014. In June, Coates Ulrichsen published “The First World War in the Middle East,” a book on the emergence of the current geopolitical makeup of the region during and after World War I.

Studying the ongoing Syrian civil war, the Baker Institute Scholar for the Middle East, Andrew Bowen, contributed articles and op-eds to Asharq Al Awsat and the Majalla magazine on diplomatic efforts to end the conflict and the role

of U.S.-Russia relations in Syria. Bowen also hosted Shadi Hamid, a Brookings fellow, for a discussion of his new book, “Temptations of Power: Islamists and Illiberal Democracy in a New Middle East,” at an event in April.

The **Women and Human Rights in the Middle East Program**, led by Marwa Shalaby, organized an April conference on “The Evolving Roles of Women in the Arab World,” which featured leading regional and international scholars of gender politics with expertise in political science, economics, sociology, anthropology, religious law and history. Shalaby recently published a country study on women’s political participation in Jordan as part of a larger, multi-country project. In addition, Shalaby and program research analyst Ariana Marnicio also collected three papers from collaborating scholars on “Defining Islamic Feminism” as the second issue of the Journal of Women and Human Rights in the Middle East. Marnicio has in addition initiated her own research project on women and HIV in the region.



**01.14.14** Middle East Institute vice president of policy and research Paul Salem (center) and senior fellow Khalil al-Anani (left) analyze the state of the Arab world's political transitions in a discussion moderated by Allen Matusow (right), director of academic affairs at the Baker Institute.



**04.30.14** Shadi Hamid, fellow at The Brookings Institution's Saban Center for Middle East Policy, signs copies of his book "Temptations of Power: Islamists and Illiberal Democracy in a New Middle East."



**09.17.13** The Baker Institute Student Forum holds a discussion on the Syrian crisis with founding director Edward P. Djerejian; Joe Barnes, Bonner Means Baker Fellow; Andrew Bowen, Scholar for the Middle East; and Ussama Makdisi, Baker Institute Rice Faculty Scholar.



**04.24.14** Marwa Shalaby, postdoctoral fellow and director of the Women and Human Rights in the Middle East Program, provides opening remarks at the inaugural International Conference on Gender and Human Rights in the Middle East. The conference examined the roles of Arab women in the political, economic and private spheres and investigated topics ranging from citizenship to domestic violence across the region.





**03.27.14** *Founding director Edward P. Djerejian provides his perspective on U.S. policy in the Middle East as part of a lecture series conducted under the auspices of The University of Texas School of Law's James A. Baker III Chair in the Rule of Law in World Affairs.*



**01.27.14** *Avi Dichter, chairman of the Foundation for the Welfare of Holocaust Survivors in Israel and former Israeli minister of home front defense, shares his insights on Israel's security challenges.*

*"We should be clear-eyed about the limitations of American influence to direct or even to contain events on the ground in the Middle East," said Edward P. Djerejian, the institute's founding director. "In my view, the critical policy challenge throughout the region is to strengthen the forces of moderation and marginalize the forces of extremism."*



**02.25.14** *Panelists (from right to left) David Ray, head of policy and advocacy at CARE USA; Cristal Montañez Baylor, executive director of the Hashoo Foundation; Rogelio Garcia-Contreras, professor at the University of St. Thomas; and Marwa Shalaby, director of the Women and Human Rights in the Middle East Program, discuss women's economic empowerment in the Middle East with moderator Terence O'Neill (far left) of the City of Houston Office of International Communities.*

The **Center for Energy Studies** (CES) had another highly productive year, publishing several major studies and continuing to reach high levels of government with its policy analysis. The name it has made for itself as a leading voice in energy was recognized in January by the Think Tanks and Civil Societies Program survey, which ranked the CES fifth among all energy and natural resource programs globally.

The program's ongoing outreach on the issues of shale gas, LNG, international competition and politics were highlighted by a multi-year study on "The Changing Geopolitics of Natural Gas: The Rise of Unconventional Gas and the Implications for Global Natural Gas Markets, Geopolitical Relations and U.S. Energy Security," conducted with Harvard University and the University of California, Davis. A capstone conference in February brought together study authors who analyzed the market and political realities of natural gas production in 15 key gas producing countries to better inform the long-term outlook for natural gas. The interaction between conference participants and attendees will be incorporated in the study's final scenario reports to be published by the end of 2014.

CES senior director Kenneth Medlock III also launched a study to examine the implications of changing a decades-old

law that bans almost all crude oil exports from the United States. Groundwork for the study, conducted with Columbia University, began at workshops hosted in New York and at the Baker Institute. In April, Medlock gave testimony on the implications of removing barriers to oil exports to the U.S. House Committee on Foreign Affairs Subcommittee on Terrorism, Nonproliferation and Trade.

The center also began work on a major study funded by the Alfred P. Sloan Foundation through The University of Texas at Austin Bureau of Economic Geology. The study examines the state and national impacts of various environmental regulatory and public policy issues surrounding hydraulic fracturing. Medlock in addition is participating in shale gas and shale oil basin studies with the Bureau of Economic Geology at The University of Texas. In a related endeavor, CES is engaging in a shale oil and gas project sponsored by the International Monetary Fund.

Shale oil was also the subject of a well-attended public forum in November co-hosted by CES and Texas Monthly titled "Will the Shale Boom Last?" Other outstanding CES events include a lecture by Kim Beazley, Australia's ambassador to the United States, who spoke on the state and future of the alliance between

**06.09.14** *Christopher Smith, principal deputy assistant secretary for Fossil Energy, discusses issues such as the Department of Energy's proposed procedural change for LNG export license approval.*



the U.S. and Australia. Fatih Birol, chief economist for the International Energy Administration, presented the IEA's World Energy Outlook as part of the Shell Distinguished Lecture Series. In June, BP's Mark Finley discussed the company's Statistical Review of World Energy with CES Energy Forum members and guests.

The program's messaging is highly sought after, as evidenced by Medlock's speaking schedule: In FY2014, he spoke at dozens of different venues on the broader geopolitical, economic and pricing implications of shale oil and shale gas. He also authored two scholarly journal articles, a Baker Institute working paper on the drivers of crude oil price, and placed articles in *Offshore* and the *Oil and Gas Journal*. Peter Hartley, professor of economics and a Baker Institute Rice faculty scholar, published a working paper on the future of long-term contracts for LNG. In addition, the center launched a blog in late December in *Forbes*, which is attracting attention to CES fellows and scholars.

The program expanded its ranks in September with the addition of Jim Krane, who lends his invaluable expertise on the geopolitical aspects of Middle East and Persian Gulf energy issues as the Wallace S. Wilson Fellow in Energy Studies. Krane hit the ground running, writing on the perils of energy

subsidy reforms, Abu Dhabi's drive into renewable energy and an imbalance of domestic natural gas supplies in the Persian Gulf region, among other topics.

In March, CES cybersecurity director Christopher Bronk hosted "Drones in the United States," an event that examined the uses and the complex policy issues of domestic unmanned aircraft. With cybercrime on the rise, Bronk also wrote several publications on the implications of the Heartbleed virus for critical energy infrastructure, warning that cyberattacks may very well be used in any contemporary geopolitical struggle or crisis.

The program welcomed Michael Maher, who joined the CES as a senior program advisor after over 33 years working primarily as an economist and in government and public affairs at a major oil company. In March, Anderson da Silva Jucá arrived from Brazil to spend a year researching Latin America energy issues as the Mario Garnero Latin America Visiting Energy Fellow.

The CES remains very involved with the education of Rice students through its undergraduate and graduate internship program. The center graduated a new Ph.D. in economics, André Barbé, in spring 2014, bringing the total in the last four years to nine.





**02.21.14** Panelists Isidro Morales (left), professor at the Monterrey Institute of Technology and Higher Education, and C.V. Starr Transnational China Fellow Steven W. Lewis (right) discuss the future of the global gas market at a conference on “The Geopolitics of Natural Gas.” The conference was the capstone for a multi-year study by the Center for Energy Studies and the Geopolitics of Energy Project at Harvard University’s Kennedy School.



**10.31.13** Froylán Gracia Galicia, executive chief of staff to the director-general of Pemex, delivers a keynote address on the impact of energy reform on Pemex at the conference “Mexico’s Energy Reform: Powering the Future.”

“The energy industry is undergoing dramatic change, and developments in the last decade highlight the need to understand the global connection of energy markets and the energy-environment nexus that is growing ever more important,” said Kenneth B. Medlock III, Center for Energy Studies director.



**06.24.14** Mark Finley, general manager for global energy markets and U.S. economics at BP, presents the findings of the BP Statistical Review of World Energy 2014. For 63 years, the BP Statistical Review has provided an objective global overview of energy production, consumption, trade, reserves and prices.





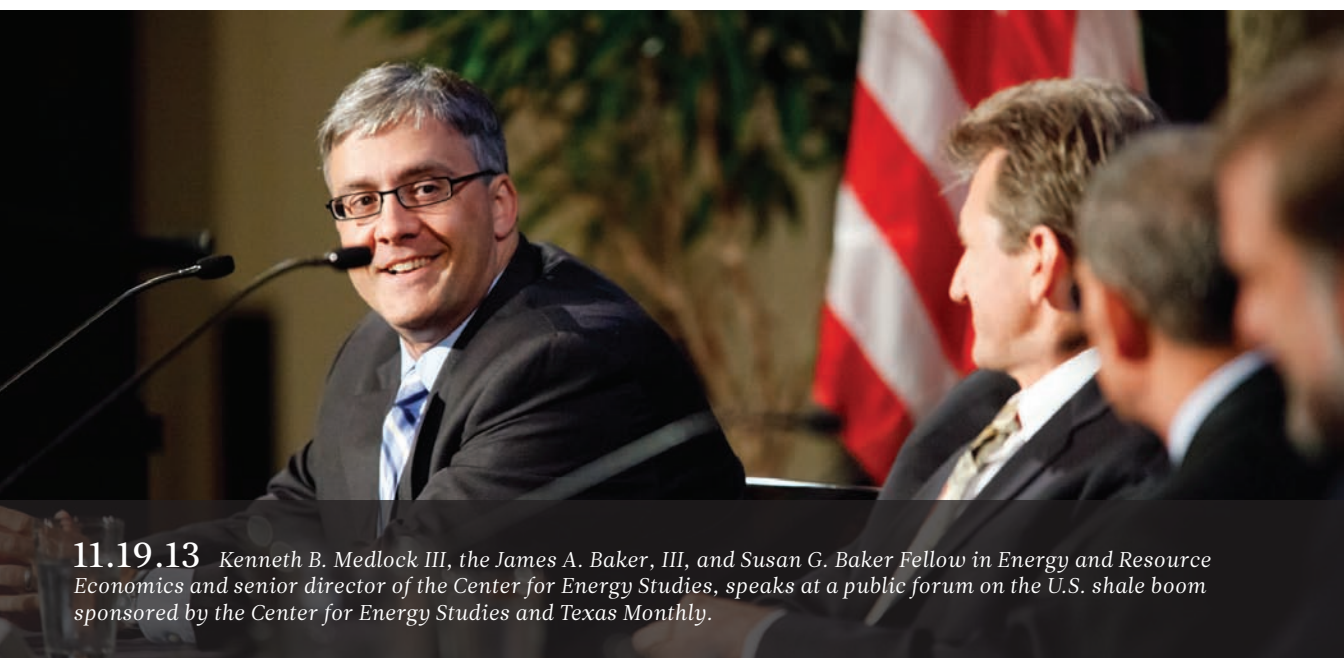
**03.25.14** *John Villasenor, a senior fellow at The Brookings Institution, explains the complex policy and regulatory issues associated with the domestic use of drones in the United States. He also reviewed Supreme Court rulings and state laws that address privacy and other legal issues.*



**01.31.14** *His Excellency Kim Beazley, Australia's ambassador to the United States, highlights aspects of the U.S.-Australia alliance, including energy and security.*



**02.20.14** *International Energy Agency chief economist Fatih Birol delivers a Shell Distinguished Lecture on the World Energy Outlook 2013, which is recognized as the most authoritative source on the strategic analysis of global energy markets.*



**11.19.13** *Kenneth B. Medlock III, the James A. Baker, III, and Susan G. Baker Fellow in Energy and Resource Economics and senior director of the Center for Energy Studies, speaks at a public forum on the U.S. shale boom sponsored by the Center for Energy Studies and Texas Monthly.*

The **Health Policy Forum** (HPF) had a successful year as fellows and scholars covered areas as diverse as the implementation of U.S. health care reforms and the spread of tropical diseases in the United States.

Beginning in February the forum, with the Episcopal Health Foundation, released a series of reports on the impact of the Affordable Care Act (ACA) in Texas. Using survey data, health economics chair Vivian Ho and health policy scholar Elena Marks examined how Hispanics, young adults and Texans in general responded to costs and other implementation issues under the ACA. Ho and Marks commented extensively on health care reform in interviews with the Wall Street Journal, the Texas Tribune and the Atlantic, among other national and local outlets.

The ACA was also the subject of the forum's fourth biennial conference on health care reform in October, which drew its largest audience yet. Six of the nation's foremost health policy experts discussed the possible impact of the ACA on the federal budget and the health insurance marketplace. Baker Institute fellow John Mendelsohn spoke on the potential for genomics to dramatically improve cancer therapies in the coming years.

Other research areas for the HPF included the rising cost of care for patients with cancer. In January, Ho, with co-authors Marah Short and Thomas Aloia, published a study showing that consistently higher costs were associated with cancer surgery complications. In a related vein, health policy scholar Hagop Kantarjian co-authored an article for the *Journal of Clinical Oncology* on the spiraling price of cancer drugs. "There is little correlation between the actual efficacy of a drug and its price," said Kantarjian, chair of the Leukemia Department at the MD Anderson Cancer Center. Kantarjian also wrote widely read pieces in the Baker Institute Blog on topics from chemotherapy drug shortages to a modern interpretation of the Hippocratic Oath.

Peter Hotez, fellow in disease and poverty, continued his work to encourage policies for the elimination of neglected tropical diseases (NTDs). In numerous commentaries and peer-reviewed articles, Hotez examined the substantial disease burden NTDs pose in the U.S. and in conflict zones in South Sudan and Syria. Hotez coined the term "Blue Marble Health" in recognition of the spread of NTDs among the poor living in the G20 and other wealthy countries. In July, he was invited to join the advisory group for a project led by the U.N. Development Programme to



**04.01.14** *At a Civic Scientist panel discussion on “Advancing Civic Science in America,” John Mendelsohn, L.E. and Virginia Simmons Fellow in Health and Technology Policy, presents his perspective on the current state of U.S. scientific research and funding.*



help low- and middle-income countries obtain treatments for NTDs.

The legal implications of stem cell research were the focus of two academic articles produced this year by the **Science and Technology Policy Program**. The first, authored by fellow Kirstin Matthews and scholar Maude Rowland Cuchiara, followed the path of the case *Association for Molecular Pathology v. Myriad Genetics* to the U.S. Supreme Court, which ruled that naturally occurring genes cannot be patented. Matthews and Cuchiara assert that new patenting guidelines are needed to spur innovation in biotechnology. A second paper by Matthews and Cuchiara explored why courts in the U.S. and EU arrived at two different definitions of “research” in cases related to stem cell research. “The courts’ definition of ‘research’ was based on ideology — what the court wanted the end result to be,” Matthews said.

The program’s Civic Scientist lectures this year included a presentation by Anita Jones, former director of defense research and engineering at the U.S. Department of Defense, who discussed the balance between personal privacy online and national security interests. In April, a discussion on the state of science in America featured a distinguished panel of scientists: Neal Lane, Baker Institute

senior fellow in science and technology; John Mendelsohn, Baker Institute fellow in health and technology policy; and David Eagleman, director of the Laboratory for Perception and Action at Baylor College of Medicine.

The *Scientific American* sat down with Lane in May for an interview about a controversial congressional bill that could indirectly set the research agenda for the National Science Foundation (NSF). “[The bill] reflects a lack of understanding with regard to the decision-making peer review process that goes into funding the best research,” said Lane, who served as director of the NSF under President Clinton.

The Baker Institute **Space Policy Program** and Baylor College of Medicine hosted the eighth annual International Space Medicine Summit (ISMS) at the Baker Institute in June. The ISMS brings together the world’s leading physicians, space biomedical scientists, engineers, astronauts, cosmonauts and educators to identify space medicine research goals and to enhance international cooperation and research. This year’s summit featured addresses by NASA administrator Charles F. Bolden Jr. and Dinesh Singh, vice chancellor of the University of Delhi, who discussed India’s national space program.



**06.06.14** Experts at the eighth annual International Space Medicine Summit share lessons learned from long-duration human spaceflight and analog research environments. The panelists included (from left to right) Vladimir Titov, Graham Scott, Sergei Krikalev, Inesa Kozlovskaya, William Gerstenmaier, David Dinges, Valery Bogomolov and Craig Kundrot.

*“Health insurance is all about making health care more affordable,” said Vivian Ho, James A. Baker III Institute Chair in Health Economics. “If you make health care more affordable, premiums don’t rise as much and more people can become insured. Economists can offer strategies to control costs, while maintaining or improving the quality of care.”*



**11.01.13** Vivian Ho, James A. Baker III Institute Chair in Health Economics (far right), discusses the response of young adults to health care reform at a Texas Tribune event hosted at the Baker Institute.



**04.01.14** David Eagleman, director of the Laboratory for Perception and Action at Baylor College of Medicine, participates in a Civic Scientist Lecture Series panel session on scientific research, STEM education and science funding in the U.S. Eagleman, a neuroscientist and New York Times best-selling author, stressed the importance of bringing scientific knowledge to a more general audience.





**04.23.14** Anita Jones, former director of defense research and engineering at the U.S. Department of Defense, visits with students at DeBakey High School for Health Professions as part of the Science and Technology Policy's Civic Scientist Program. Jones also gave a Shell Distinguished Lecture Series presentation on cybersecurity and civil society.



**07.06.13** Moscow Summer Intern Program participants visit Red Square in Moscow. Students from across the U.S. take part in the Space Policy Program initiative, joining undergraduates from other countries to design theoretical missions to planets and asteroids. The aim is to foster international collaborations among the next generation of space scientists and engineers.



**02.06.14** Robert Bazell, nonresident fellow in science and technology policy and an award-winning science correspondent, gives a behind-the-scenes look at TV news during a happy hour for members of the Emerging Leaders and Associate Roundtable.



**10.25.13** Experts (from left to right) Jay Bhattacharya, Vivian Ho, Jean Abraham, Fiona Scott Morton and Jim Rebitzer consider the impact of the Affordable Care Act at the Health Policy Forum's fourth biennial conference on health care reform.

Baker Institute fellows advanced major policy debates in world politics and economics this year, producing sound research and clear-eyed analysis that inform policymakers and the public alike.

In October, the **International Economics Program** hosted the conference “Eurocrisis Minefield: Mapping the Way Out” to identify the most effective approaches to Europe’s economic policy challenges. Robert B. Zoellick, former president of the World Bank, gave the keynote address, and the program later published a report of the recommendations provided by eight conference presenters.

International economics fellow Russell Green, who served as the U.S. Treasury Department’s first financial attaché to India, visited the country twice in FY2014 to conduct research and meet with academics and government officials for forthcoming reports on the bond market in India and its regulation of development banks.

In May, he wrote extensively on the election of India’s new prime minister, Narendra Modi, making the case for the major reforms needed to revive the country’s economy. Implementing reforms will be a challenge, Green said, but “India may face a watershed moment

when a prime minister known to get things done has an unusually strong political base from which to act.”

Steve Lewis, who leads the **China Studies Program**, weighed in on a World Policy Journal article that asked whether India or China would emerge as Asia’s leading power in the future. “Although some hawks in China and in India may secretly want to dominate the other, these two emerging superpowers and titans of the global economy will have no choice but to ultimately work with each other in one critical arena – energy security,” he concluded.

Lewis has also joined colleagues at the Rice University Department of Sociology to study how scientists around the world view the relationship between religion and science. He is overseeing research in Hong Kong and Taiwan. Lewis in addition interviewed physicists at top universities in Beijing and Shanghai for a second study with the sociology department on ethics and ethical decision-making. It is the first time a Western social scientist has been able to interview Chinese physicists about this topic.

Upon his return to Texas, Lewis examined China’s natural gas industry for a paper published as part of the Center for Energy Studies’ project on the geopolitics of



**10.14.13** *Former World Bank president Robert B. Zoellick delivers the keynote presentation at “Eurocrisis Minefield: Mapping the Way Out.” The International Economics Program hosted the conference as part of a series of events commemorating the institute’s 20th year.*



natural gas, and was interviewed by Voice of America-Asia and China’s CCTV on subjects such as U.S.-China space relations and U.S. and Chinese energy policies. His colleague Aynne Kokas, fellow in Chinese media, published a policy report recommending steps to further open media markets in China to maximize opportunities for innovation in the digital media sphere.

In March, Lewis and Kokas joined a panel discussion co-hosted by the China Studies Program and the Chao Center on recent political reforms and economic policies in China. The panel also assessed China’s interest in – and ability to – realize President Xi Jinping’s goals of prosperity, strength and the wellbeing of citizens.

Microfinance in the Middle East was the focus of a study led by Mahmoud A. El-Gamal, Baker Institute Rice faculty scholar and chair in Islamic economics, finance and management. In a paper published by the *Journal of Economic Behavior & Organization*, El-Gamal detailed a microfinance model that could be a useful alternative in Islamic countries where many of the poor reject conventional modes of borrowing on religious grounds.

Public finance fellow John Diamond and Baker Institute Rice faculty scholar George Zodrow examined the economic effects

of a temporary value-added tax to reduce the national debt. The study, published in the *Public Finance Review*, was a reference point at a fall event hosted by the **Tax and Expenditure Policy Program** on the economic effects of tax reform in light of the fiscal challenges facing the United States.

Joe Barnes, Bonner Means Baker Fellow, has been a frequent contributor to the Baker Institute Blog, giving perspective and insight to headline issues such as Israeli-Palestinian negotiations, the crisis in Ukraine and the Edward Snowden NSA leaks. This year he authored a paper recommending a new, balanced approach to U.S. foreign policy in East Asia, the Middle East and elsewhere, and contributed a chapter in a forthcoming volume on efforts to reduce the country’s fiscal deficit and national debt.

Barnes is a faculty advisor for the Baker Institute Student Forum, and joined the group’s fall panel discussion on the crisis in Syria. In the spring he co-taught a course on public diplomacy in the Middle East, and traveled to Doha, Qatar, with Rice undergraduates participating in the institute’s Public Diplomacy and Global Policymaking in the 21st Century Program.



**03.18.14** Nancy Pelosi, Democratic leader of the U.S. House of Representatives, reflects on her experiences in Congress and on women in politics at the Baker Institute.



**10.14.13** Russell Green (far left), Will Clayton Fellow in International Economics, moderates the panel "It's Only Money: How Can the Euro Succeed?" Participants included (from left to right) economists Megan Greene, Michael D. Bordo and Andrea Montanino.



**08.31.13** Rice students who participated in the Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program meet in the fall at the Baker Institute to discuss their experiences at government agencies, think tanks and nongovernmental organizations.



**09.25.13** John Diamond, Edward A. and Hermena Hancock Kelly Fellow in Public Finance, discusses the economic effects of tax reform in light of fiscal challenges in the U.S. Diamond and Baker Institute Rice faculty scholar George Zodrow also examined corporate and individual tax reform plans.





**04.03.14** Former Houston Mayor Bill White debunks common myths about the federal budget during a discussion of his book “America’s Fiscal Constitution: Its Triumph and Collapse.” Drawing on his experience in finance and public service, White shared practical lessons from the nation’s five previous spikes in debt.



**04.15.14** Members of the Rice Young Conservatives and the Rice Young Democrats debate the U.S. welfare system and minimum wage at a Baker Institute Student Forum spring event.

“Implementing a federal budget process that encourages the adoption of pro-growth, fair, and simple tax and spending policy reform is critical given that current fiscal policies are projected to lead to larger budget deficits and dramatic long-run increases in the debt-to-GDP ratio,” said John Diamond, Edward A. and Hermena Hancock Kelly Fellow in Public Finance.



**03.24.14** China experts (from left to right) Aynne Kokas, fellow in Chinese Media; Carrie Liu Currier, director of the Asian studies program at Texas Christian University; and Steven W. Lewis, C.V. Starr Transnational China Fellow, assess China’s concerns about inflation, economic growth and local government debt.

In its first full year, the **Mexico Center** made important contributions toward increased understanding of key policy issues facing the U.S.–Mexico relationship, including border, trade, energy, migration and security challenges.

Center director Tony Payan was named the first Françoise and Edward Djerejian Fellow in June 2014. The Honorable James A. Baker, III, and Susan G. Baker generously established the endowed fellowship to honor the work of Baker Institute founding director Edward Djerejian and his wife, Françoise. Recognizing the importance of the Mexico Center, the Djerejians directed the endowment to Payan.

Payan crisscrossed the U.S. and Mexico this year to conduct trade-, immigration- and border-related research, and to speak at conferences from Seattle to Russia and Finland. He was one of four Baker Institute fellows tapped to offer commentary, opinion and analysis for The Hill website, a Washington, D.C.-based news source that receives millions of unique visitors every month.

The center held its inaugural conference in April, examining the impact and future of the North American Free Trade Agreement. Three of the principal negotiators of the pact led the discussion:

Carla A. Hills (United States), Jaime Serra Puche (Mexico) and Michael Wilson (Canada). The Honorable James A. Baker, III, who served as U.S. secretary of state during the landmark negotiations, also delivered remarks.

At a daylong conference in October, stakeholders from the private sector, government decision-makers and scholars explored the challenges and opportunities for shale gas and deep-water oil prospects in the Gulf of Mexico. And in April, José Antonio Meade Kuribreña, Mexico's secretary of foreign affairs, discussed Mexico's future under historic reforms and what they mean for the country's economic development.

Energy reform was also the focus of a series of Mexico Center policy briefs on the political, institutional and legal issues that could impede the aims of the groundbreaking legislation. Potential questions include the effectiveness of a new energy regulatory agency and the specter of organized criminal groups that control wide swaths of Mexico's oil-rich land.

The institute's **Latin America Initiative** (LAI) continued its well-regarded Vecinos Lecture Series, hosting a panel discussion on a wave of regionalism that could rebalance the relationship between Latin America and the United States. Other events

**04.07.14** *Honorary chair James A. Baker, III, gives the keynote address at the conference “NAFTA After 20 Years,” joined by three of the principal negotiators of the treaty – panelists (from left) Michael Wilson, Carla A. Hills and Jaime Serra Puche, as well as moderator Luis Rubio.*



include a lecture by Eduardo Pérez Motta, former president of the Mexican Federal Competition Commission, who spoke on the need to modernize the country’s aging energy infrastructure to meet future demands. In April, the LAI launched the Voices of the Americas blog for its Americas Project alumni, who have explored topics as diverse as food security in Latin America’s growing cities to the political influence of Argentina’s Pope Francis.

The **Drug Policy Program** focused its research this year on the changing legal landscape for medicinal and recreational marijuana use in the U.S., and continued its examination of drug-related violence in Mexico and Latin America.

Program director William Martin wrote a well-received article for Texas Monthly on the benefits of using marijuana to treat military veterans diagnosed with post-traumatic stress disorder. “War Without End,” published in June 2014, sparked debates across the U.S. on the Internet as well as on television and radio. A public forum co-hosted by Texas Monthly and the Baker Institute coincided with the release of the article.

Other publications this year include an issue brief by nonresident fellow Gary Hale, who described the spread of grassroots self-defense groups in Mexico that aimed to defend towns against drug cartels.

The program additionally published model legislation for the decriminalization and regulation of marijuana in Texas. The statutes, written by students at South Texas College of Law (STCL), were the result of an STCL class taught by professor Drury Stevenson in collaboration with Martin and Baker Institute postdoctoral fellow Nathan Jones.

Jones also authored a paper recommending the U.S. inform Mexico’s government about the criminal backgrounds of repatriated Mexicans, and oversaw the popular “Baker Institute Viewpoints” blog series, which asks contributors to provide their perspectives on a given topic. A weeklong “Viewpoints” series in June on marijuana legalization increased blog readership by an extraordinary 797 percent.

Martin, who is also the Harry and Hazel Chavanne Senior Fellow in Religion and Public Policy, arranged with civil rights litigator Michelle Alexander to distribute DVDs of one of her lectures to primarily African-American churches. The purpose: to mobilize efforts to press for changes in the enforcement of drug laws that disproportionately harm minority communities. He also spoke at churches and interreligious clergy groups to encourage similar endeavors.





**10.21.13** U.S. Rep. Luis V. Gutiérrez recounts his journey from the barrio to Capitol Hill and analyzed the House immigration reform bill at an event hosted by the Mexico Center.



**06.18.14** From left, Texas state Sen. Joan Huffman, physician Neeraj Shah, Texas Monthly editor Brian Sweany, Drug Policy Program director William Martin and U.S. Army veteran David Bass at "Texas Monthly Talks: Can Marijuana Help Veterans With PTSD?"



**05.14.14** José Antonio Meade Kuribreña, secretary of foreign affairs of Mexico, offers exclusive insight into the country's foreign policy.



**01.07.14** Jim Snyder (left), private sector co-chair of the Overseas Security Advisory Council (OSAC), and Peter Ford (center), OSAC deputy executive director, study a document at a forum on Mexico's security challenges.



**10.01.13** Francisco Carrión Mena, a professor at Ecuador's Facultad Latinoamericana de Ciencias Sociales, talks about regionalism in Latin America at a Vecinos Lecture Series panel discussion.





**07.11.13** Panelists (from left to right) Michael Chertoff, chairman of the Chertoff Group; Henry Cisneros, former U.S. secretary of housing and urban development; and Haley Barbour, former governor of Mississippi, examine border security and immigration reform.



**10.07.13** Eduardo Pérez Motta, former chairman of the Mexican Federal Competition Commission, weighs the possible impact of energy reform in Mexico.

*“As the American economy continues its recovery, deteriorating economic and public safety conditions in Central America – coupled with political stability and job opportunities in the U.S. – will motivate migrants to make the trek north,” said Tony Payan, director of the Mexico Center. “A surge of undocumented immigrants is ever more likely under these conditions in the next few years.”*



**08.14.13** At a happy hour for young professionals, Mexico Center director Tony Payan identifies the legislative and political roadblocks to comprehensive immigration reform in the United States.



**03.31.14** Investigative journalist and author Doug Fine talks to audience members after his presentation on the potential economic impact of legalized marijuana in the U.S. Fine also participated in a Twitter Q&A following the event, answering questions about medical marijuana, the industrial use of hemp, and federal versus state marijuana laws.

Rice University students gained valuable experience and insight into the world of public policy through the diverse opportunities offered by the Baker Institute throughout the year. Undergraduates participated in internships at Baker Hall and abroad, held policy debates, and learned from fellows, business leaders and lawmakers alike.

In the fall, the **Baker Institute Student Forum** (BISF) hosted its inaugural policy paper competition, drawing undergraduates from across the country who answered the question “How can the U.S. improve the delivery of health care?” Students wrote on topics ranging from mental health services for the poor to the spread of antibiotic-resistant bacteria. Fifteen teams of finalists from universities including Rice, Georgetown, Stanford and Yale presented their papers to a panel of health care and policy experts in Houston, who named five winners, three of whom were Rice students.

The BISF organized a number of other events this year, including an informal lunch with founding director Edward P. Djerejian, who discussed his experiences as an ambassador to Syria and to Israel, a panel discussion on the crisis in Syria, and two student debates.

Interns conducted research for fellows at Baker Hall and assisted decision-makers and scholars from Washington, D.C., to Moscow and Paris. Twelve Rice undergraduates traveled to the nation’s capital to participate in the institute’s **Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program**, working at organizations as diverse as the National Science Foundation and the U.S. Department of State. In Houston, students conducted research for fellows and scholars, and several students published commentaries on the Baker Institute Blog directly related to their work.

In the spring, Rice students were able to enroll in the institute’s Introduction to Public Policy course, now in its second year. The class, taught by a rotating roster of 19 fellows and scholars, explored issues such as health care, energy policy, and religion and politics.

For students participating in the **Public Diplomacy and Global Policymaking in the 21st Century (PDGP) Program**, spring break 2014 meant a trip to Doha, Qatar, for a four-day policy conference with their counterparts from Hamad bin Khalifa University (HBKU). This was the PDGP’s fifth undergraduate exchange, and the third year of the collaboration between the PDGP Program and the Qatar Foundation and HBKU. Fellows Kirstin Matthews and Joe Barnes served as faculty advisors.





**03.03.14** Students from Qatar's Education City and Rice University discuss the political role of civil society organizations during the 2014 Public Diplomacy and Global Policymaking Colloquium in Doha, Qatar.

**04.04.14** NASA astronaut Nicole Stott (center) photographs herself at Houston's Lunar and Planetary Institute with Russian student participants of the Moscow Summer Intern Program.



**09.21.13** Finalists in the Baker Institute Student Forum's inaugural undergraduate public policy competition "Health Care Policy in the United States" with keynote speaker Clarence James "C.J." Peters (far right). During his address, Peters, a physician and former U.S. Army colonel, advised students to keep trying, even if it seems no one is listening to their ideas. "If you talk loud enough and long enough, you will be heard," he said.



**11.20.13** Richard Stoll (right), Baker Institute Rice faculty scholar, visits with students during a mixer hosted by the Baker Institute Student Forum. The gathering gave students the opportunity to network with fellows and scholars and learn about their research.



**08.31.13** Participants in the Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program present the results of their research to Baker Institute fellow and program coordinator Steven W. Lewis as well as other fellows, Rice faculty and institute administrators.





**11.08.13** *Guests at the 20th Anniversary Gala enjoy a four-course dinner prepared by six of Houston’s James Beard Foundation Award-nominated chefs. The gala and Club Berlin raised over \$3.4 million in support of Baker Institute research and work.*

The fiscal year of Rice University’s Baker Institute corresponds to that of the university, running from July 1 to June 30. The institute’s main expenditures include policy-related programs, research appointments and operating costs. Policy-related program costs include, but are not limited to, conferences, seminars, publishing expenses, honoraria and special projects. Operating costs include salaries, communications, maintenance, technology and general administration.

The Baker Institute’s income statement for fiscal year 2014 includes \$9.98 million in revenue compared to \$8.54 million in expenses. Fiscal year 2014 was exceptional for the Baker Institute in terms of revenues and expenses largely because of fundraising events

commemorating our 20th anniversary: a presidential gala and Club Berlin, a party for young professionals. Together, the two celebrations raised more than \$3.4 million. Expenses for these and other 20th anniversary programs totaled \$786,000.

In fiscal year 2015, the institute will make it a priority to pursue sustainable endowment funds for programs currently not supported by hard money. These endowed funds will provide future financial security for the programs. In addition, strategic programmatic gifts are being sought to support the administrative and research aspects of key policy areas.

## ***Baker Institute Endowment History (Fiscal Years 2009–2014)***

*(in millions of U.S. dollars)*

Fiscal Year	Market Value*	Earnings Distributed	New Contributions to Endowments
2009	\$ 77.84	\$ 3.41	\$ 0.24
2010	\$ 60.85	\$ 3.19	\$ 1.05
2011	\$ 65.19	\$ 3.26	\$ 1.44
2012	\$ 78.16	\$ 3.37	\$ 3.86
2013	\$ 81.31	\$ 3.33	\$ 1.08
2014	\$ 88.69	\$ 3.61	—

*\*As of June 30 of the previous fiscal year*

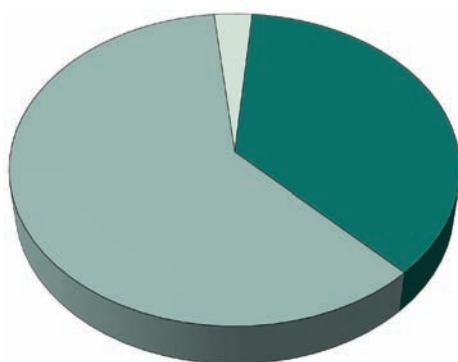
## Consolidated Income Statement — Fiscal Year 2014 (July 1, 2013–June 30, 2014)

(in millions of U.S. dollars)

2013 Balance Roll Forward	\$ 6.11
<b>Revenue</b>	<b>+ \$ 9.98</b>
Baker Institute Endowment Distribution	\$ 3.61
Current Use Gifts from Supporters	\$ 6.03
Rice University Funds	\$ 0.34
<b>Expenses</b>	<b>– \$ 8.54</b>
Research Fellows and Programs	\$ 4.98
Development, Public Affairs, Communication and Administration	\$ 3.56
<b>Balance — Fiscal Year 2014</b>	<b>\$ 7.55</b>

### Funding Sources — Fiscal Year 2014

(in millions of U.S. dollars)

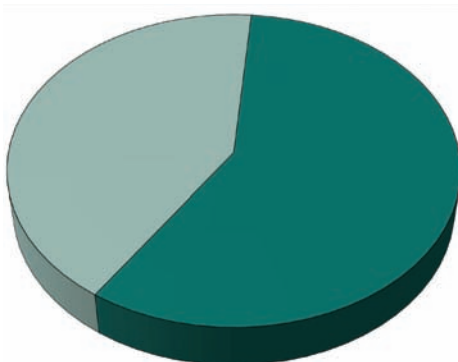


**Total: \$ 9.98**

- Baker Institute Endowment Distribution  
\$ 3.61 (37%)
- Current Use Gifts from Supporters  
\$ 6.03 (60%)
- Rice University Funds  
\$ 0.34 (3%)

### Budget Expenses — Fiscal Year 2014

(in millions of U.S. dollars)



**Total: \$ 8.54**

- Research Fellows and Programs  
\$ 4.98 (58%)
- Development, Public Affairs, Communication and Administration  
\$ 3.56 (42%)

## Board of Advisors

The board of advisors is dedicated to supporting and expanding the research and public policy goals of the Baker Institute. Board members provide a valuable external perspective and sounding board for institute leadership and policy fellows, and increase the Baker Institute's visibility with their support of its nonpartisan policy research and recommendations.



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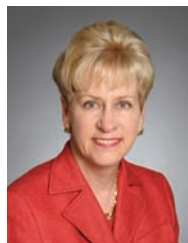
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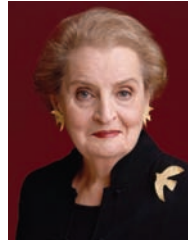


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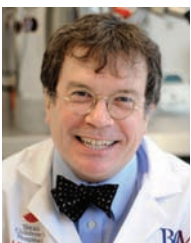
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**06.02.14** *From left, James A. Baker, III, and Susan G. Baker established an endowed fellowship to honor the work of Baker Institute founding director Edward Djerejian and his wife, Françoise. Mexico Center director Tony Payan was named the first Françoise and Edward Djerejian Baker Institute Fellow.*

We are grateful, as always, for your generous support of Rice University's Baker Institute for Public Policy. The important work of our fellows and scholars, as well as our ability to introduce students to the world of public policy, would not be possible without the commitment and loyalty of friends, foundations and corporations.

The public's engagement at the Baker Institute is showcased through the Roundtable, our lead annual giving society, which is built on a deep foundation of community support. Our increased programming focus on young professionals through the Roundtable Emerging Leaders has led to a remarkable growth in membership this year. Look for more exciting changes to come as the group gathers organizational momentum.

Philanthropy has played a major role in the establishment of the institute's Mexico Center, from the initial challenge grant from the Mexican Businessmen's Council – and the many donors who met that challenge – to the establishment of the Françoise and Edward Djerejian Fellow in Mexico Studies, endowed by James A. Baker, III, and Susan G. Baker. The Mexico Center is well positioned to provide transformational change, setting the cornerstone needed to establish a leading, highly focused center on the study of U.S.-Mexico relations.

As the Baker Institute's presence on the global stage continues to expand, we are ever mindful that it is our donors who have fueled our success. Cumulatively, you contributed more than \$9.3 million in total gifts and pledges in fiscal year 2014, ending June 30, 2014. Again, thank you for your ongoing trust in the institute and your support of our fellows and scholars.

Please join us again in the coming year as we look forward to a future of continued growth, public policy events and programs, and innovative, fact-based research that effectively advances our nation's foreign and domestic policy.

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**04.17.14** *The Baker Institute Board of Advisors, which facilitates the institute's participation in some of the nation's key domestic and foreign policy challenges, meets in the R. Stockton Rush Conference Center.*



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*The funds raised for the 20th Anniversary Gala support our mission as a nonpartisan catalyst for the exchange of ideas and their translation into action. We extend our deepest gratitude for the philanthropy of donors that makes the work of the institute possible.*

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**06.16.14** *Roundtable Emerging Leaders members (from left to right) Ammar Alkhalwaldeh, Raj Salhotra, Cody Sutton and Matthew Corbett at an exclusive Roundtable Emerging Leaders reception with James A. Baker, III.*

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**09.26.13** *Kirstin Matthews, fellow in science and technology policy, and Kenneth Evans, a graduate research intern, describe the Science and Technology Policy Program's research to guests at the annual Fall Roundtable Reception.*



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**04.17.14** Neal Lane (left), senior fellow in science and technology policy, and Peter Hotez (right), fellow in disease and poverty, discuss their research after a Board of Advisors meeting.

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**04.22.14** *Kristian Coates Ulrichsen (left), fellow for the Middle East, and Andrew Bowen (right), Baker Institute Scholar for the Middle East, present their research on the Middle East during a panel session at the Spring Roundtable Dinner.*



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**04.22.14** *Roundtable Emerging Leaders committee members Radu and Irina Filip socialize at the annual Spring Roundtable Dinner.*

Mr. L. Charles Landgraf  
 Mr. Donald N. Levit  
 Mr. and Mrs. Burton J. McMurtry  
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**09.26.13** Roundtable chair Beth Robertson (right) enjoys the Fall Roundtable Reception with honorary co-chairs Y. Ping Sun (left) and Susan Baker (center).



## HEALTH POLICY FORUM

The Health Policy Forum facilitates the exchange of ideas between medical and policy professionals in Texas, as well as national audiences. These discussions provide the foundation for health policy research and recommendations, and foster an improved understanding of national health concerns.

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The Roundtable is our premier membership forum, dedicated to advancing the mission of the institute. Through annual giving, members of this group foster community engagement in some of the most pressing public policy challenges, while interacting with world leaders, national decision-makers and leading researchers.

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and Mrs. Baker  
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**09.26.13** *George Abbey, Baker Botts Senior Fellow in Space Policy, gives a demonstration of his work to Roundtable Colleague members Val and Ben Sheppard Jr. at the Fall Roundtable Reception.*

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**04.22.14** *Roundtable members chat with fellows and scholars during the annual Spring Roundtable Dinner.*



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Mr. and Mrs. Uzi Halevy



**06.16.14** *Roundtable Emerging Leaders member T. Paresh Patel asks a question during the group's reception with James A. Baker, III.*

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 Dr. Andrea M. Hanson  
 Mr. Alex Hernandez  
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**04.22.14** *Mariana Diez and Mike Freedman, Roundtable Emerging Leaders committee members, network before the Spring Roundtable Dinner.*



Dr. Darrow Zeidenstein and Ms. Nejd Yaziji  
Dr. Hilmar Zeissig

## YOUNG PROFESSIONALS

*The Roundtable Emerging Leaders and Associate Roundtable are membership groups that provide individuals age 45 and under with the opportunity to network with policy leaders and like-minded professionals.*

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Mr. and Mrs. Matthew Goossen  
Ms. Victoria Grinbaum  
Ms. Katherine Hallaway





**09.06.13** *Mark Jones (second from left), fellow in political science, answers questions after an Associate Roundtable happy hour at Hughes Hangar.*

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 Mr. and Mrs. Michael Hatfield  
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**06.16.14** *Roundtable Emerging Leaders members (from left to right) Jill Thompson Shull, Mark and Katherine Yzaguirre, and Katherine Cabaniss enjoy an exclusive reception at the Baker Institute.*



Mr. and Mrs. Anthony Wright  
Mr. and Mrs. Mark Yzaguirre

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