



JAMES A. BAKER III
INSTITUTE FOR
PUBLIC POLICY
RICE UNIVERSITY



2010 ANNUAL REPORT



Above, from left to right:

08.29.09 Students discuss their summer 2010 internships as part of the Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program.

10.30.09 James A. Baker, III, right, stands in front of a segment of the Berlin Wall during a conference discussing German unification, an event he played a pivotal role in as U.S. secretary of state. From left are: Charles Powell, former private secretary to British Prime Minister Margaret Thatcher; Roland Dumas, former minister of foreign affairs of France; Markus Meckel, former minister of foreign affairs for the German Democratic Republic; and Hans-Dietrich Genscher, former foreign minister of the Federal Republic of Germany.

05.22.10 NASA administrator Charles Bolden discusses President Barack Obama's plans for the space program at the Baker Institute's fourth annual International Space Medicine Summit. The summit brings together leading physicians and space biomedical scientists from space-faring nations for high-level discussions on research needed to prevent and/or mitigate the medical and biomedical challenges associated with long-duration space flight.

This annual report encompasses the activities of the institute for fiscal year 2010 from July 1, 2009, to June 30, 2010.

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20 e-newsletters*

22 programs

36 policy researchers

54 student interns

59 classes taught

77 publications

120 events

175 countries
reached on the web

196 blog posts*

337 tweets*

497 facebook fans*

909 blog comments

2,390 subscribers to
the e-newsletter*

107,255 visits to
the website

*Statistics for social media are as of September 2009 for the blog and Twitter, July 2009 for Facebook, and December 2009 for the e-newsletter.

The James A. Baker III Institute for Public Policy is a nonprofit, nonpartisan think tank at Rice University in Houston, Texas. The institute produces independent, innovative research on domestic and foreign policy issues with a focus on providing decision makers in the public and private sectors with relevant and timely policy assessments and recommendations. In order to inform the general public on key policy issues, the institute disseminates its research and policy recommendations widely through its state-of-the-art communications facilities.

By bringing statesmen, scholars and students together, the institute broadens the content and reach of its policy assessments and recommendations, and provides an open forum for debate and discussion.

The institute educates students on public policy issues and related subjects by teaching courses at Rice University and sponsoring student intern and mentoring programs at home and abroad.

James A. Baker, III



Above, from left to right:

09.01.09 *Texas State Rep. Ellen Cohen, D-Houston, speaks in support of health coverage for Texas children at a news conference held at the Baker Institute. She is joined by local business leaders, health care providers and policy experts, including Vivian Ho, the James A. Baker III Institute Chair in Health Economics, who co-authored a policy report on “The Economic Impact of Uninsured Children on America.”*

10.01.09 *His Excellency Christian Wulff (seated center), prime minister of Lower Saxony in Germany, is a speaker at an Energy Forum conference on the future of unconventional and renewable energy resources in his country. He is pictured with Rice University President David Leebron (seated, left) signing a memorandum of understanding that will promote research collaboration between Rice University and Clausthal University of Technology in Germany.*

06.17.10 *Sheikha Lubna bint Khalid bin Sultan Al Qasimi, the United Arab Emirates’ minister for foreign trade, discusses commerce between her country and the United States. Texas is one of the UAE’s top trading partners.*

In my inaugural speech marking the founding of the James A. Baker III Institute for Public Policy, I laid out my vision for a nonpartisan policy institute at Rice University. My goal was to establish an institute that would serve as a bridge between the world of ideas and the world of action; one that would connect statesmen, scholars and students.

At that time, I also spoke about the need for leadership in the policy arena. People generally recognize leadership when they see it, but too often we fail to understand how to develop, nurture and protect it.

At this point in our development, I am proud to say that the Baker Institute has become a recognized leader in policy and scholarly debate. In the current discourse of our day, on issues such as energy, tax policy, Middle East conflict resolution and health care, the institute has become known, both in the United States and abroad, for contributions that help guide current and future policymakers.

As we approach our 20th anniversary in 2013, our challenge is to determine the best way to continue developing programs that can maintain and expand our role as a leader among premier policy institutions.

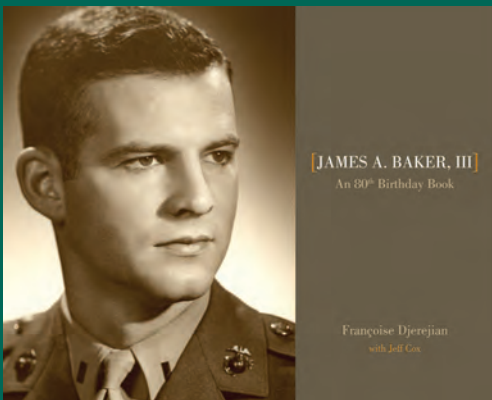
This first annual report provides information on the state of the institute in fiscal year 2010 and underscores the essential role our supporters play in our achievements to date and will play in our future progress. We will continue to build on our accomplishments and broaden the scope of our research and teaching programs in the world of public policy.



11.10.09 *The Honorable James A. Baker, III, (left) thanks businessman and philanthropist Robert McNair, recipient of the 2009 James A. Baker III Prize for Excellence in Leadership.*



01.07.10 *Mahmoud A. El-Gamal (seated left) and Amy Myers Jaffe (seated right) sign copies of their book “Oil, Dollars, Debt and Crises: The Global Curse of Black Gold.”*



04.24.10 *“James A. Baker, III: An 80th Birthday Book” is published as a tribute to Mr. Baker’s many accomplishments.*

NOVEMBER 2009

Baker Institute Rice Scholar George Zodrow receives Steven D. Gold Award from National Tax Association

Baker Institute Rice Scholar Peter Mieszkowski receives Daniel M. Holland Medal from National Tax Association

Philanthropist and businessman Robert C. McNair receives James A. Baker III Prize for Excellence in Leadership

Baker Institute Rice Scholar Michael Lindsay receives Herbert H. Reynolds Outstanding Young Alumni Award from Baylor University

MAY 2010

James A. Baker, III, receives 2010 Eisenhower Medal for Leadership and Service from the Eisenhower Fellowship

Academic Affairs Director Allen Matusow receives Association of Rice Alumni Gold Medal

JULY 2009

Baker Institute Fellow Douglas Brinkley publishes “The Wilderness Warrior: Theodore Roosevelt and the Crusade for America”

JANUARY 2010

“Oil, Dollars, Debt and Crises” is published by Baker Institute Fellows Mahmoud A. El-Gamal and Amy Myers Jaffe

MAY 2010

Baker Institute Rice Scholar Elaine Howard Ecklund publishes “Science vs. Religion: What Scientists Really Think”

Edward P. Djerejian



The past 12 months have been among the Baker Institute’s most productive.

Our public policy programs provided cutting-edge research and analysis. Baker Institute Energy Forum research was featured prominently in the media; the institute’s Health Economics Program released a timely study analyzing children’s insurance coverage; the Science and Technology Policy Program published policy reports on stem cell policy and the need for global scientific collaboration; and our Conflict Resolution Program published a report on “Getting to the Territorial Endgame of an Israeli-Palestinian Peace Settlement.”

We commemorated the 20th anniversary of the fall of the Berlin Wall and the unification of Germany with the American and European statesmen who shaped those momentous events. A former U.S. secretary of the treasury and the president of The World Bank shared their thoughts on the global financial crisis and domestic fiscal reform.

We have expanded our offerings to Rice University students, and now 10 Baker Institute fellows are teaching undergraduate and graduate classes. Our dynamic Baker Institute student internship and mentorship programs have also grown to include projects abroad. In our 17th year, we enter the age of social media by establishing a presence on Facebook and Twitter, as well as launching a blog and an e-mail newsletter highlighting the institute’s research, people and events.

In April, we celebrated the 80th birthday of our honorary chairman, James A. Baker, III. His vision for the institute shapes our mission of lending a meaningful voice to our nation’s deliberations on public policy issues. In the year ahead, we shall continue to produce the highest-quality policy research and events that inform, educate and influence the public, scholars, statesmen and students.

Our accomplishments would not be possible without the confidence and generosity of our donors and supporters to whom we are grateful.

A SAMPLING OF SELECTED FELLOW/
SCHOLAR-TAUGHT COURSES

- ASIA 211 Introduction to Asian Civilizations
- ASIA 212 Perspectives on Modern Asia
- COMP 301 Identity Theft to the iPod
- COMP 381 ICT Designs — Sustainable World
- ECON 211 Principles of Economics
- ECON 355 Financial Markets
- ECON 370 Microeconomic Theory
- ECON 437 Energy Economics
- ECON 439 Torts, Property and Contracts
- ECON 447 Energy Economics II
- ECON 448 Corporate Finance
- ECON 451 Economy of Latin America
- ECON 479 Economic Modeling and Public Policy
- ECON 480 Environmental Economics
- ECON 481 Health Economics
- ECON 483 Public Finance: Tax Policy
- ECON 516 Empirical Microeconomics
- ECON 522 Public Economics: Tax Policy
- ECON 523 Dynamic Optimization
- HIST 291 20th Century American Presidents
- HIST 302 Traditional Chinese Culture
- HIST 319 Fortune-Tellers and Philosophers
- HIST 342 Modern China
- HIST 352 History of the Cold War
- HIST 425 U.S. Conservation Movement
- POLI 211 Introduction to International Relations
- POLI 354 Latin American Politics
- POLI 378 Politics of American National Security
- POLI 540 International Relations
- POLI 599 Teaching Political Science
- POST 401 Energy Policy
- POST 411 Sustainable Development
- POST 455 Contemporary Middle East Politics
- RELI 225 Revolutionary Islam: Shi'ism
- RELI 356 Major Issues in Contemporary Islam
- RELI 441 Magic and Popular Religion
- RELI 442 Classical Arabic Texts
- RELI 521 Advanced Study of Islam
- RELI 530 Pedagogy Practicum
- SOCI 101 Introduction to Sociology
- SOCI 314 Science at Risk
- SOCI 345 Introduction to Medical Sociology



04.21.10 *C.V. Starr Transnational China Fellow Steven Lewis, right, discusses public policy in China with Benjamin Chou '13, a political science and Asian studies major. Lewis works with Chou on Asian energy policy research. In return for helping Lewis with his research, Chou, who is a Rice University Century Scholar, receives extra research funds to travel, do foreign study or present his work at conferences.*

The Baker Institute's engagement with policymakers and students expanded this year as our scholars sought new ways to inform the debate on selected public policy issues. The formulation, implementation and evaluation of public policy, both domestic and international, were a top priority, with a particularly timely emphasis on energy, health care, science and technology, and conflict resolution issues.

- Conflict Resolution
- Drug Policy
- Energy Forum
 - Rice Energy Program
 - Poverty, Energy and Society
 - Climate Change Initiative
 - Sustainability Project
- Health Economics
 - Health Policy Forum
- Homeland Security and Terrorism
 - Texas Security Forum
- Information Technology Policy
- International Economics
- Latin American Initiative
 - The Americas Project
 - U.S.-Mexico Border Program
 - Vecinos Lecture Series
- Public Diplomacy
- Religion and Public Policy
- Science and Technology
 - Civic Scientist Program
 - International Stem Cell Policy Program
 - Medicine, Research and Society
- Space Policy
- Tax and Expenditure Policy
- Transnational China Project
- Kelly Day Endowment on the Status of Women and Human Rights in the Middle East

STUDENT PROGRAMS

- Baker Institute Student Forum
- Jesse Jones Leadership Center Summer in D.C. Internship Program
- Public Diplomacy and Global Policymaking
- French Institute of International Relations

SPEAKER SERIES

- Robert A. Mosbacher Global Issues Series
- Shell Distinguished Lecture Series



Vivian Ho, Ph.D.
James A. Baker III Institute Chair in
Health Economics



Neal F. Lane, Ph.D.
Senior Fellow in Science and Technology
Policy



Kirstin R.W. Matthews, Ph.D.
Fellow in Science and Technology Policy

SCIENCE AND HEALTH

As Congress debated health care reform, the institute's **Health Economics Program** issued a policy report in cooperation with the Children's Defense Fund showing the health and cost benefits of insuring children. The program also examined whether hospitals in Texas shift the cost of the uninsured to private payers, with preliminary data showing no evidence of such cost shifting. A third study showed that states that dropped regulations governing the performance of two common heart procedures demonstrated no increase in death rates. The program additionally embarked on a National Cancer Institute-funded study of costs associated with cancer treatment.

The institute's **Science and Technology Program** examined issues ranging from the nation's scientific illiteracy to the scientific community's attitude toward religion. Scientists from the United Kingdom and

the United States discussed stem cell policies in their respective countries. A conference on comparative effectiveness research examined which health care procedures work best for various patient populations and which present the greatest benefits or risks. The program also produced a policy report in support of more flexible immigration policies for science researchers working at universities and in government, as well as worldwide standards for peer review, research ethics and accreditation.

This year's Civic Scientist lecture featured Bruce Alberts, editor-in-chief of Science magazine, discussing "Redefining Science Education and the Role that Scientists Play in Society." The Civic Scientist Program seeks to expose scientists and future scientists to the notion that their roles expand outside of the laboratory.



09.14.09 At the fifth conference in the Baker Institute Science and Technology Program's "Stem Cells: Saving Lives or Crossing Lines" series, keynote speaker Lord Naren Patel, chairman of the U.K. National Stem Cell Network Steering Committee, discusses embryonic research and regulation from a U.K. perspective.



02.05.10 Author Chris Mooney sounds a warning on declining math and science skills in the United States and signs copies of his book, "Unscientific America: How Scientific Illiteracy Threatens Our Future."



02.24.10 At a conference that partners the Science and Technology Policy Program and the Health Policy Forum with The University of Texas M. D. Anderson Cancer Center, scholars and medical experts examine how research comparing a range of health care interventions impacts the U.S. health care system.



George W.S. Abbey
Baker Botts Senior Fellow in Space Policy



Christopher Bronk, Ph.D.
Fellow in Information Technology Policy



Ronald L. Sass, Ph.D.
Fellow in Global Climate Change

INFORMATION TECHNOLOGY, SPACE AND THE ENVIRONMENT

The **Information Technology Policy Program** brought together information executives to discuss pressing cybersecurity issues, including threats to national security by hackers acting independently or in concert with a foreign government. This focus was reiterated by program events with influential policymakers, including retired Air Force Maj. Gen. Dale Meyerrose, former chief information officer for the Office of the Director of National Intelligence, and U.S. Rep. Michael McCaul, R-Texas, ranking member of the U.S. House Subcommittee on Emerging Threats, Cybersecurity, Science and Technology.

NASA administrator Charles Bolden gave the keynote address at the **Space Policy Program's** fourth International Space Medicine Summit. The 2010 conference brought together scientists from space-faring nations including the United States, Russia and China, with the goal of finding the best ways to use the International Space Station for research, as well as to ensure the well-being of astronauts and cosmonauts on future expeditions.

Climate change was another focus for institute researchers, who collaborated on the best ways to understand, discuss and engage policymakers in this scientifically complex topic.



11.03.09 *The Information Policy Program hosts Dale Meyerrose, former chief information officer for the Office of the Director of National Intelligence, for a discussion on the top cyber issues in the United States.*



02.16.10 *The Space Policy Program welcomes Nobel laureate Samuel Ting, who discusses the Alpha Magnetic Spectrometer Project.*



05.21.10 *A highlight of the fourth annual International Space Medicine Summit is a live videoconference with NASA'S Extreme Environment Missions Operations project from an underwater research facility off Key Largo, Fla.*



Hanan Ashrawi
Diana Tamari Sabbagh Fellow in Middle Eastern Studies



Yair Hirschfeld, Ph.D.
Isaac and Mildred Brochstein Fellow in Middle East Peace and Security in Honor of Yitzhak Rabin

CONFLICT RESOLUTION

The **Conflict Resolution Program** at the James A. Baker III Institute for Public Policy, chaired by Edward P. Djerejian, is actively involved in the formulation of policy analysis, proposals and consultations with decision makers. The program seeks to advance conflict resolution efforts with a special focus on the broader Middle East.

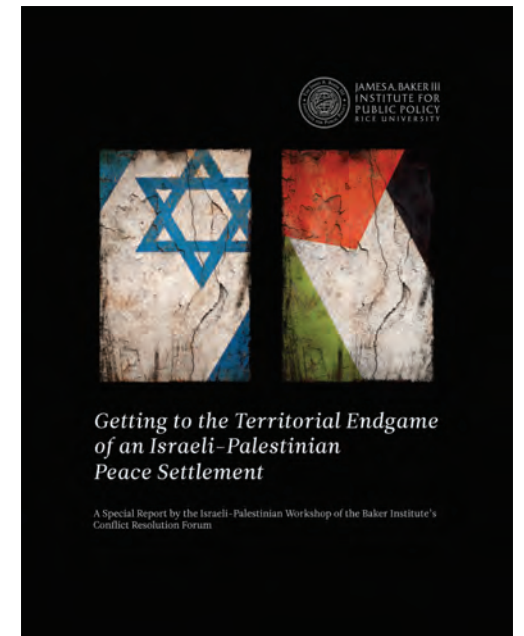
In February, the Conflict Resolution Program published “Getting to the Territorial Endgame of an Israeli–Palestinian Peace Settlement,” which offered U.S. negotiators concrete recommendations on the territorial component of an Israeli–Palestinian peace settlement. The findings of the report, which drew from a series of institute–facilitated workshops between Israelis and Palestinians in the private and public sectors, were presented directly to senior officials of the Obama administration and the Palestinian and Israeli governments.

The report finds that a United States bridging proposal on the territorial component of peace based on the line of June 4, 1967, with agreed–upon swaps and modifications, could be introduced at the right time and, depending on actual political circumstances, serve as a guide to enable gradual progress, step by step. The contours of this territorial bridging proposal are outlined, as well as the need to prepare the necessary planning tools to achieve a successful outcome.

The program also sponsored lectures examining the rationale and content of strategies for dealing with the Israeli–Palestinian peace negotiations, as well as the broader prospects for peace between Arabs and Israelis and the development of democratic and security institutions within the Palestinian body politic.



11.12.09 Jehan Sadat, former first lady of Egypt, activist and author (center), delivers a message of hope and peace at an event sponsored by the Kelly Day Endowment on the Status of Women and Human Rights in the Middle East and the Ghada Irani Discretionary Fund.



02.02.10 The Baker Institute publishes “Getting to the Territorial Endgame of an Israeli–Palestinian Peace Settlement.” The report draws on nearly two years of discussions between a working group of Israelis and Palestinians convened under the aegis of the institute’s Conflict Resolution Forum and chaired by Baker Institute founding director Edward P. Djerejian.



Joe Barnes
Bonner Means Baker Fellow



Erika de la Garza
Program Director, Latin American Initiative



Steven W. Lewis, Ph.D.
C.V. Starr Transnational China Fellow
Jesse Jones Leadership Center Summer
Internship Program Coordinator

EUROPE, LATIN AMERICA AND ASIA

The peaceful reunification of Germany following the fall of the Berlin Wall 20 years ago was examined at a unique conference panel discussion featuring the key foreign ministers and political personalities involved in the historic “Two-plus-Four” negotiations, including James A. Baker, III (United States), Roland Dumas (France), Hans-Dietrich Genscher (Federal Republic of Germany), Markus Meckel (German Democratic Republic), Charles Powell (United Kingdom) and Eduard Shevardnadze (Soviet Union).

Peacemaking and the preservation of peace were also the focus for the **Latin American Initiative**. The Americas Project conference brought together rising leaders from across the Western Hemisphere to discuss promoting peace and stability in the 21st century. In other lectures, speakers examined press freedoms in Latin America, as well as drug policy on both sides of the border, and the implications for democracy. Through its signature programs — the Americas Project, the U.S.-Mexico Border Program and the Vecinos Lecture Series — the Latin American Initiative provided a forum for leading stakeholders from government,

the private sector, academia and civil society to exchange their views on pressing issues confronting the region.

The **Transnational China Project** surveyed subway commuters in four Chinese cities on the increasing use of advertising in public spaces and presented the findings at a conference co-hosted with the Shanghai Academy of Social Sciences. At another conference co-hosted with the Shanghai Institutes of International Studies, the program recommended that the U.S. and Chinese governments should consider creating shared public service advertisements to increase awareness of issues such as global warming and energy conservation.

South Asia also was a focus of institute programs, with lectures examining security and development challenges facing India and Pakistan, as well as the global ramifications. Scholars discussed opportunities for collaboration, and examined urban challenges facing one of the fastest-growing regions in the world, with a focus on Karachi, Pakistan. Guests included Meera Shankar, India’s ambassador to the United States, and Makhdoom Shah Mehmood Qureshi, the foreign minister of Pakistan.



10.23.09 In a lecture delivered to guests of the Latin American Initiative, Ambassador Luis Gallegos of Ecuador examines the economic and social relationship between his country and the United States.



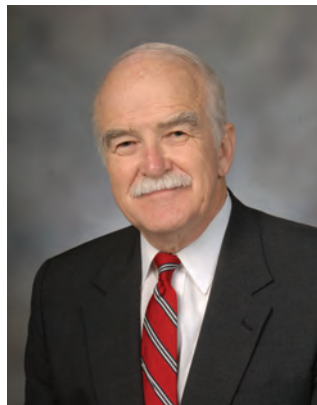
10.30.09 To commemorate the 20th anniversary of the fall of the Berlin Wall, the institute hosts a historic panel discussion with six of the principal decision makers who navigated the sometimes-treacherous path to German unification.



11.06.09 India and the United States have a mutual interest in countering terrorism and supporting the economic development of India, says Ambassador Meera Shankar at a lecture sponsored by the Public Diplomacy Program.



Douglas Brinkley, Ph.D.
Fellow in History



William Martin, Ph.D.
Harry and Hazel Chavanne Senior Fellow
in Religion and Public Policy



Joan Neuhaus Schaan
Fellow in Homeland Security and
Terrorism

DOMESTIC STUDIES

With reports of terror attacks in the news, policymakers looked to the Baker Institute for current, in-depth information to better understand the best ways to enhance regional and national security. The **Homeland Security and Terrorism Program** sought to do this in 2009-2010 with a focus on assessing the risks within and outside our borders. The program strives to improve the security of the region and the nation by educating citizens and civil authorities and through the identification of policy measures that make existing security practices more effective. The Texas Security Forum hosted an event at the Baker Institute that drew attention to terrorist planning and activities within our own country.

The institute's **Drug Policy Program** examined the "War on Drugs," bringing together government officials and advocates of drug decriminalization and/or needle exchange programs for a frank discussion on the successes and failures of U.S. drug policy. The prospects for marijuana legalization in Texas were also examined. The institute acquired the Drug Truth Archive, a collection of more than 600 online interviews on drug policy conducted by national radio host Dean Becker. This resource will allow policymakers, scholars and interested citizens to learn from the experience and insight of a wide range of informed people who have spent years grappling with these issues.

The institute's history program focused on American conservation and the Cold War, as well as recognition of the role the United States has played in promoting global security.



10.26.09 Retired CIA station chief Haviland Smith contends that the lack of foreign language skills in U.S. government agencies could compromise diplomatic objectives in his talk "Challenges for Clandestine Intelligence Collection in Support of U.S. Middle East Policy."



11.19.09 The pros and cons of current drug policy are the focus of "Cannabis, Cartels and Crime: Would Legalization Help?," organized by William Martin (far right). The panelists are (from left) the Drug Policy Alliance's Ethan Nadelmann, UCLA professor Mark Kleiman and the Drug Enforcement Agency's Gary Hale.



01.19.10 Retired CIA officer and author Milton Bearden guides a Baker Institute audience through Afghanistan's policy options and the implications for U.S. interests.



Amy Myers Jaffe
Wallace S. Wilson Fellow in Energy Studies
Director, Baker Institute Energy Forum



Kenneth B. Medlock III, Ph.D.
James A. Baker, III, and Susan G. Baker
Fellow in Energy and Resource Economics



David Mares, Ph.D.
Baker Institute Scholar for Latin American
Energy Studies

ENERGY POLICY

Policy-makers seeking original, forward-looking discussion about carbon management and energy policy from a national and global perspective turned to the **Baker Institute Energy Forum** for innovative, thorough and timely scholarship. Program scholars traveled to Brazil, Japan and other locations around the globe to present their research. A high-level roundtable in Abu Dhabi brought together executives and policymakers to discuss the energy market consequences of U.S. energy and climate policy.

A policy report on U.S. biofuels questioned the viability of a domestic-only strategy, suggesting tariff policies on imported ethanol that block cheaper imports are misguided. Research on oil futures and speculators highlighted the need for better understanding of the financial players in oil future markets, and the implications for U.S. energy security. An analysis of the past, present and future of resource nationalism in Latin America showed a role for independent

oil companies and local governments, particularly in Colombia and Brazil. The program examined the expanding role of shale gas in the United States in a Wall Street Journal commentary, as well as the domestic and global ramifications for oil producers. Another forum publication provided an overview of the Obama administration's first year of energy initiatives, concluding that any viable policy must include both short- and long-term solutions.

The Energy Forum also sent students to Lesotho, Africa, to work with local communities on ways to improve energy efficiency and health. The group conducted field surveys and focus groups to identify trends in income, family structure, energy use, social capital and health.

And, as Congress debated the merits of legislation designed to curb carbon emissions, a series of Energy Forum workshops provided industry and academic feedback in anticipation of a major fall 2010 conference on the consequences of such policies on domestic and global energy markets.



09.21.09 At a Shell Distinguished Lecture Series event, featured filmmaker and activist Chris Paine signs DVDs of his documentary "Who Killed the Electric Car?"



03.02.10 The Energy Forum travels to Abu Dhabi, United Arab Emirates, to present findings from its study "Consequences of an Emerging U.S. Energy and Climate Policy on the Global Energy Market." His Excellency Mohamed Bin Dhaen Al-Hamli (at podium), minister of Energy of the United Arab Emirates, delivers remarks.



04.13.10 The Honourable Colin Barnett, premier of Western Australia, encourages foreign investment in his country at the Energy Forum event "Energy Resources Down Under – Right Place, Right Time."



John W. Diamond, Ph.D.
Edward A. and Hermena Hancock Kelly
Fellow in Public Finance



Mahmoud A. El-Gamal, Ph.D.
Will Clayton Fellow in International
Economics



Daniel B. Rodriguez
Fellow in Law and Urban Economics

**PUBLIC FINANCE, DEVELOPMENT
AND LAW**

The Baker Institute **Tax and Expenditure Policy Program** is actively involved in research that focuses on the effects of fundamental reform of our nation’s tax system. This year, that research included work on computer models capable of examining the economic impact of a value-added tax and of corporate tax reform measures. The program also focused on the city of Houston’s underfunded pension plans, as well as the effects of the financial crisis on household wealth.

On a global level, the institute’s **International Economics Program**, in conjunction with the **Kelly Day Endowment on the Status of Women and Human Rights in the Middle East**, spent the year working on a project in Egypt that tests microfinance — or small-loan — lending systems designed to help poor, rural communities in developing countries. The research focuses on two lending models: bank loans based on trust and accountability instead of collateral (such as those pioneered by the Grameen Bank in Bangladesh); and

credit union-style loans based on Rotating Savings and Credit Associations, which rotate interest-free credit among its members and are therefore acceptable in all religions that forbid usury, including Judaism, Christianity and Islam.

The **Mosbacher Global Issues Series** welcomed The Honorable Robert B. Zoellick, president of The World Bank Group. A former U.S. trade representative in President George W. Bush’s administration, Zoellick spoke on the effects of the global economic downturn. The institute also hosted Henry M. Paulson, the 74th U.S. secretary of the treasury, who discussed his role in helping the nation weather the beginning of the current financial crisis. Paulson’s visit was part of the Shell Distinguished Lecture Series.

State policymakers benefited from the institute’s law and urban economics scholarship, which focused on the application of political economy and political theory to the study of public law. The expertise also provided insight into state constitutional law.



01.14.10 “Financial Reform: A Lost Opportunity to Strengthen American Capitalism?” features Stuart P.M. Mackintosh, executive director of the Group of Thirty, who explains why the American system of financial regulation is fundamentally broken.



03.19.10 A Mosbacher Global Issues Series lecture features Robert B. Zoellick, president of The World Bank Group (right), who speaks on the effect of the financial crisis on world economies. Mahmoud A. El-Gamal, Will Clayton Fellow in International Economics, moderates the lively discussion that follows.



03.26.10 The first Shell Distinguished Lecture of 2010 features 74th U.S. Secretary of the Treasury Henry Paulson (far left), who recounts how he and others worked to help the United States weather the beginning of the global financial crisis. Paulson gives a full account of his tenure at the Treasury Department in the book “On the Brink.”

The Baker Institute's 36 fellows and scholars produce the cutting-edge research that gives the institute its national and international reputation.

Their work covers a wide variety of domestic issues, including energy policy, the economics of health reform and stem cell research, as well as the challenges confronting major regions of the world: the Middle East, Asia, Latin America, Africa and Europe.

Much of the institute's success comes from its status as a strictly nonpartisan organization. This model leads to independent thought — and pragmatic and innovative policy proposals that are often reported in national publications. The fellows also write policy reports, books and articles and hold workshops and lectures throughout the year. They regularly brief policymakers and are an active and accessible resource for the media. In 2009, two Baker Institute fellows were the most quoted academics at Rice University.

“What makes the Baker Institute unique is our ability to focus our research on issues of pressing public concern,” says Allen Matusow, academic affairs director at the Baker Institute and the William Gaines Twyman Professor of History at Rice University. “We have reached out to the faculty and students at Rice in a meaningful way to integrate the institute's work across campus.”



09.29.09 William Martin, Harry and Hazel Chavanne Senior Fellow in Religion and Public Policy (far right), talks with guests at the annual Fall Roundtable Reception.



The development of the Internet has irrevocably changed how we find, absorb and think about information, creating new challenges for public policy, contends Christopher Bronk, the Baker Institute fellow in information technology policy. He studies the innovative and complex ways that information technology is transforming government and global geopolitics.

This year, Bronk brought U.S. Rep. Michael McCaul, R-Texas, ranking member of the U.S. House Subcommittee on Emerging Threats, Cybersecurity, Science and Technology, to speak on our society's rapidly growing vulnerability in cyberspace.

“Our program aims to serve as a conduit between the world of public policy and information and computing technology,” he says.

Indeed, Bronk has put considerable effort into building a vibrant, relevant program on security in cyberspace, spearheading regular meetings of chief information security officers from some of Houston's highest-profile corporations — including Continental Airlines, Halliburton, Waste Management, Schlumberger and Shell — to promote collaboration on big picture issues. At the request of the Greater Houston Partnership, Bronk serves on the Technology Infrastructure Committee, providing guidance on developing the next generation of networks and information services for the Houston area 10-county region. Rice also has become the teaching center for the Information Systems Security Association's certification courses.

“The point of information policy is not locking it away someplace and throwing away the key,” contends Bronk. That approach is reflected in his research, which includes a paper on Wikipedia technology's implications for knowledge-sharing in the diplomatic corps co-authored with a former colleague at the U.S. State Department.

A practitioner-academic, Bronk holds a doctorate from the Maxwell School of Syracuse University and studied at Oxford. Before joining the institute in 2006, he was a Foreign Service Officer, serving in the Office of eDiplomacy in Washington, D.C., and also on the U.S.-Mexico border.



06.04.10 *Ten Rice University students travel to Cairo to discuss human rights, religion and other topics with their Egyptian counterparts at the American University in Cairo. This colloquium is the first for the Baker Institute's new student-led Public Diplomacy and Global Policymaking program.*

One of the Baker Institute's central missions is to engage students — the next generation of policymakers — through programs and courses that offer real-world experience and the opportunity to learn from top policy experts.

Many institute fellows and scholars teach courses at Rice University that complement their areas of study. "I have been impressed by the students' interest in global affairs, and their questions suggest a real desire to understand the world around them," said institute founding director Ambassador Edward P. Djerejian, who taught an undergraduate course on contemporary Middle East politics during the spring semester.

Competitive internships include summers at government and nonprofit agencies in the nation's capital through the Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program; in Europe at the French Institute of International Relations; and through an Energy Forum program that promotes sustainability in developing countries such as Lesotho and Morocco.

Students at Rice also have multiple opportunities to engage firsthand with institute scholars and staff. Undergraduates are invited to apply for research and administrative positions at the institute. Through the Baker Institute Student Forum, Rice undergraduates plan institute-supported public policy events to engage students across campus. Student Forum highlights this year included meetings with public officials, debates on health care and on the death penalty, and private meetings and discussions with The World Bank president Robert Zoellick and former U.S. treasury secretary Henry Paulson.



Like many of her peers at Rice University, Amandu Hu '10 wants to make the world a better place.

An idea took shape during the two summers she interned for the Baker Institute Energy Forum in the African nation of Lesotho: Hu envisioned interviewing hundreds of at-risk youths in a developing country about their life and career ambitions. With this information, she reasoned, policymakers could tailor programs to help the younger generation achieve their goals.

Back in Houston, Hu turned her idea into a plan for a survey of Botswana — and last May won a Fulbright scholarship to fund it. "My proposal directly sprang from thinking about what would become of the young people in Lesotho," she recalls. "If I had never been able to see it with my own eyes, I'd probably have a different concept of my future."

In August, Hu traveled to Botswana to begin interviewing orphans and other vulnerable youths. "I want their voices to be heard, to empower them to get to where they wanted to go."

Hu says her experience as a Baker Institute intern in Lesotho "solidified my desire to help, and changed my life."

Students with an interest in public policy should "definitely check out internship opportunities," adds Hu, who plans to attend medical school after returning home to Seattle, Wash., next year. "You never know where they may lead."



05.16.10 *The Baker Institute upgraded the Doré Commons (top), the Kelly International Conference Facility (above) and the R. Stockton Rush Conference Center (below). The new technology will expand the institute's communication capabilities on the Web and through satellite television in high definition.*



The James A. Baker III Institute for Public Policy's fiscal year runs from July 1 through June 30. The institute's main expenditures include fellows' salaries and research-related programs and events. Such research-related events include, but are not limited to, speaking series, forums and symposiums. Significant expenditures also include operating expenses such as administration salaries and support, facilities maintenance, communications, publications and archiving.

The institute expended in 2010 approximately \$670,000 on its first major capital improvement project since the completion of Baker Hall in 1997, upgrading audio visual equipment with state-of-the-art technology. This investment will significantly enhance the institute's outreach and help cut costs through teleconferencing.

Endowment distributions suffered in 2010 relative to previous years due to market underperformance and the economic recession. The Baker Institute embarked on a successful campaign strategy that increased annual and one-time donor gifts to help compensate for the loss in endowment distribution. Total 2010 contributions to the Baker Institute increased 30% versus 2009 contributions, and increased 67% versus 2008 contributions. Despite this success, the institute has several research program initiatives that remain in need of funding.

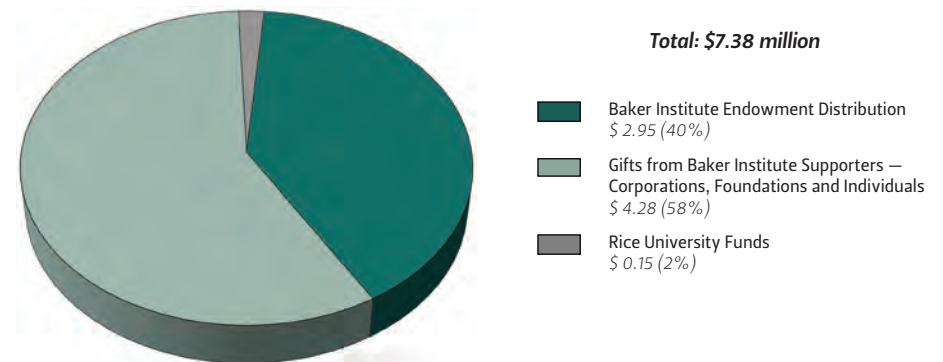
The reduced market value of the Baker Institute's endowment at the beginning of Fiscal Year 2010 was approximately \$61 million.

Baker Institute Fiscal Year 2010

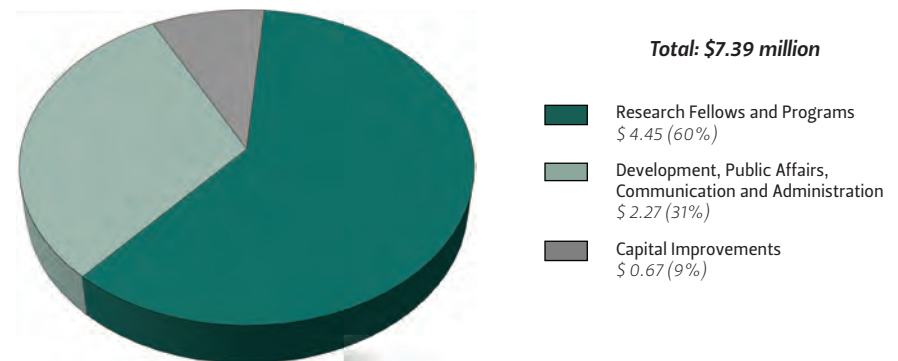
(in millions of U.S. dollars)

2009 Balance Roll Forward	\$ 5.97
Revenue + \$ 7.38	
Baker Institute Endowment Distribution	\$2.95
Gifts from Baker Institute Supporters	\$4.28
Rice University Funds	\$0.15
Expenses - \$ 7.39	
Research Fellows and Programs	\$4.45
Development, Public Affairs, Communication and Administration	\$2.27
Capital Improvements	\$0.67
Net Income Fiscal Year 2010	\$ 5.96

Funding Sources — Fiscal Year 2010
(in millions of U.S. dollars)



Budget Expenses — Fiscal Year 2010
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04.24.10 *From left, Rice University president David Leebron and his wife Baker Institute Roundtable Honorary Chair Y. Ping Sun with Paula and Sam Douglass at the 80th birthday celebration for Mr. Baker.*

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The James A. Baker III Institute for Public Policy depends on the generous philanthropy of individuals, foundations and corporations around the globe that supports the research of our fellows and scholars, and introduces students to the world of public policy.

We are grateful to those who sustain the institute's groundbreaking work and allow our forums and programs to reach out to the public at lectures, conferences and other events.

The institute invited Roundtable and Associate Roundtable members to more than 100 special events at Baker Hall last year. Our distinguished speakers included current and former heads of state, leading researchers, authors and scholars. During question-and-answer sessions and receptions, Baker Institute members engaged with the experts and experienced firsthand the benefits of their investment in public policy.

One highlight of the 2010 fiscal year was the 80th birthday celebration of James A. Baker, III, the institute's namesake and honorary chair. The gala raised \$2.1 million in Mr. Baker's honor. In addition, legendary Houston lawyer Joe Jamail made a special \$1 million donation. His exceptional generosity and commitment to excellence will be recognized by naming the west wing of James A. Baker III Hall after Lee and Joseph D. Jamail.

The Baker Institute's success this past year would not have been possible without your generous gifts. Cumulatively, our supporters contributed more than \$6.2 million during fiscal year 2010. Every donation helps to advance the mission of the Baker Institute to bridge the gap between the world of ideas and the world of action.

Vince McElroy — Clifene Kimmel

80th Birthday Celebration

The 80th birthday celebration of James A. Baker, III, was held on April 24, 2010, and attended by 700 friends, family and supporters of the honorary chair of the institute. The event raised more than \$2.1 million in support of the research conducted by the institute's fellows and scholars.

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The Baker Institute would like to express gratitude for the support of our many donors over the past fiscal year. Although we are only able to list those gifts that totaled \$500 or more from July 1, 2009, through June 30, 2010, please accept our thanks for each gift, which helps to support the Baker Institute's programs and research. We strive for accuracy in acknowledging those who have given to the Baker Institute, and we regret any omission or error, which we ask you to bring to our attention.



04.24.10 *Françoise Djerejian with Joseph D. Jamail, whose \$1 million gift to the institute was announced during Mr. Baker's 80th birthday celebration, and Clare Glassell.*

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04.24.10 *Aliyya Stude, Courtney Sarofim and Isabel Lummis, co-chairs of the gala in honor of Mr. Baker’s 80th birthday.*

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04.24.10 *The Honorable Hushang Ansary and Shahla Ansary, honorary chairs of the 80th birthday celebration for Mr. Baker. The Ansarys also serve on the Energy Forum Advisory Board and the institute's Board of Advisers.*

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05.25.10 Roundtable chair Beth Robertson (center) with (from left) Muffet Blake and Roundtable honorary co-chair Susan Baker at the annual member dinner.

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09.29.09 *Associate Roundtable chair Cody Sutton (center) with Associate Roundtable member Mark Yzaguirre and fellow Kirstin Matthews at the annual fall Roundtable reception.*

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