

**Steven W. Lewis, Ph.D.**  
**Curriculum Vitae**

**Address:**

Rice University  
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**Current Professional Positions:**

**C.V. Starr Fellow in Asian Studies**, James A. Baker III Institute for Public Policy, Rice University, from 2010 (research fellow since 1997).

**Professor in the Practice in Humanities**, Rice University, from 2004.

**Associate Director**, Ting Tsong and Wei Fong Chao Center for Asian Studies, Rice University, from 2007.

**Faculty Coordinator**, Jesse Jones Leadership Center Summer in DC Policy Research Program, Baker Institute, Rice University, from 2004.

**Associate Fellow**, Asia Society International; from 2007.

**Education:**

1988-1996

**Washington University in St. Louis**, Department of Political Science; Dissertation: "Testing Theories of Institutional Change and Property Rights: Privatization Experiments and Economic Development Zones in China," December 1996.

1989

**Middlebury College Chinese Summer Language Program**, 1989; Fifth-Year Course Certificate.

1982-1985

**Ohio University**, Scripps School of Journalism, B.S.J., and Certificate of Asian Studies.

**Research and Institutional Grants:**

**Ting Tsong and Wei Fong Chao Foundation:** a \$10,000,000 grant to establish an interdisciplinary research center at Rice University; I am one of two faculty developers of this project.

**Richard Lounsbury Foundation:** a \$25,000 grant to fund an international workshop, "International Scientific Collaborations: American and Chinese Research Cultures," held with representative scientists from the US, PRC, ROC and Singapore at the Baker Institute in April 2009; I am one of the principal organizers of the conference and investigator for the grant.

**Freeman Foundation:** Rice University Institute for Chinese Language Teaching; a \$400,000 grant to establish an institute to train and certify Chinese language teachers in Texas; I was an administrative supervisor for this project.

**Henry R. Luce Foundation:** Consumer Citizenship: Marketing Civil Society in a Transnational China; a \$150,000 grant for research on consumerism and nationalism in China, 2001-present.

**Institute for Energy Economics, Japan/UFJ Research Institute:** Energy Cooperation in Northeast Asia; two \$50,000 grants for research on cooperation between U.S. Japan and China on energy, energy security and environmental policy, 2004, 2005.

### **Academic Publications:**

“Chinese NOCs and World Energy Markets: CNPC, Sinopec and CNOOC,” peer-reviewed research report published as part of an omnibus study, *The Changing Role of National Oil Companies in International Energy Markets*, Energy Forum, Baker Institute for Public Policy, Rice University, (submitted to a university press as part of an edited volume, in 2010).

“COSCO,” entry in *Berkshire Encyclopedia of China*, 2009;

“Deepen Sino-US Cooperation on Energy,” *Asia Policy*, No. 7 (January 2009);

“China and Energy Security in Asia,” published by the Korean Economic Institute Policy Forum, May 2008.

“US-China Strategic Relations, Energy Supply and Conservation Policy: Untapped Opportunities for Cooperation among Local Government, Industry and Academia,” in *For Security’s Sake: Can the United States Help Petroleum Rich Nations Avoid the Resource Curse?*, consensus recommendations and report from a round table hosted by the Nicholas Institute for Environmental Policy Solutions at Duke University; March 2007.

“Liquefied Natural Gas from Indonesia: The Arun Case Study,” with Fred von der Mehden, in Amy Jaffe, Mark Hayes and David Victor, eds., *Natural Gas and Geopolitics: from 1970 to 2040*, Cambridge: Cambridge University Press, 2006.

“Political and Economic Implications of New Public Spaces in China’s Global Cities,” in Fulong Wu, ed., *Globalization and the Chinese City*, London: Routledge-Curzon Press, 2006.

“Popular Political Support in Urban China,” *Perspectives on Politics*, [comparative politics book review], Vol. 3, No. 4, December 2005.

“China Views the Middle East,” *Qadaya ‘Alamiyya (Global Issues)* [in Arabic], Vol. 2, No. 3, September-October 2005.

“Economic Thought,” “The WTO Debate,” “People’s Mediation,” and “Ding Guangen,” in Edward Davis, ed., *The Encyclopedia of Contemporary Chinese Culture*, London: Routledge-Curzon Press, 2005.

- “The Future of Energy Cooperation Among China, Japan and the United States,” conference report published by the Northeast Asia Energy Cooperation Workshop Program, Energy Forum of the Baker Institute for Public Policy at Rice University, September 2004.
- “Privatization Best Practices and Comparisons: Case Study Petrobras,” peer-reviewed report published as part of an omnibus study, *Brazilian Energy Sector Reform*, Energy Forum of the Baker Institute for Public Policy at Rice University, February 2004.
- “The Media of New Public Spaces in Global Cities: subway advertising in Beijing, Hong Kong, Shanghai and Taipei,” *Continuum: Journal of Media and Cultural Studies*, Vol. 17, No. 3, September 2003.
- “China’s Oil Diplomacy,” with Amy Myers Jaffe, *Survival*, Vol. 44, No. 1, Spring 2002.
- “What Can I Do For Shanghai? Selling Spiritual Civilization in Chinese Cities,” in Stephanie Hemelryk Donald, Michael Keane, Yin Hong, eds., *Media in China: Consumption, Content and Crisis*, London: Routledge-Curzon Press, 2002.
- “Cultural Security Perceptions in Northeast Asia and Their Impact on Energy Cooperation,” with Amy Myers Jaffe, et al, and published as part of an omnibus study, *Japanese Energy Security and Changing Global Energy Markets: An Analysis of Northeast Asian Energy Cooperation and Japan’s Evolving Leadership Role in the Region*, Center for International Political Economy and the Baker Institute for Public Policy at Rice University, May 2000.
- “Defining and Enforcing Intellectual Property Rights in a Decentralizing China: Market Federalism and the Strategic Role of Local Government in Comparative Perspective,” National Bureau of Asian Research, May 1999.
- “Privatizing China’s State-Owned Oil and Gas Companies,” published as part of an omnibus study, *China and Long-Range Asian Energy Security: An Analysis of the Political, Economic and Technological Factors Shaping Asian Energy Markets*, Center for International Political Economy and the Baker Institute for Public Policy at Rice University, April 1999.
- “Social, Cultural and Religious Factors Influencing China’s Energy Supply,” with George Marcus, et al, and published as part of an omnibus study, *China and Long-Range Asian Energy Security: An Analysis of the Political, Economic and Technological Factors Shaping Asian Energy Markets*, Center for International Political Economy and the Baker Institute for Public Policy at Rice University, April 1999.
- “Marketization and Government Credibility in Shanghai: Federalist and Local Corporatist Explanations,” in David Weimer, ed., *The Political Economy of Property Rights*, Cambridge: Cambridge University Press, 1997.
- “Credible Commitment and Property Rights: The Role of Strategic Interaction Between Political and Economic Actors,” with Daniel Diermeier, Joel Ericson and Tim Frye; in David Weimer, ed., *The Political Economy of Property Rights*, Cambridge: Cambridge University Press, 1997.

**Academic Conference Papers, Presentations and Invited Academic Lectures:**

- “Public Spaces and the Media of Citizenship: Shanghai and Washington DC,” Institute for Advanced Studies in the Social Sciences, Fudan University, December 3, 2009.

- “Comparative Social Science Survey Research Design,” Shanghai Institutes of International Studies, Graduate School, November 20, 2009.
- “Sino-US Energy Cooperation,” Department of Public Administration, Tongji University, November 13, 2009.
- “Global Public Goods and the Formation of Transnational Publics: America and China on Energy Policy,” Shanghai Institutes of International Studies, November 12, 2009.
- “America and China: Problems and Publics,” at “America and China: The Next Thirty Years,” Baker Institute for Public Policy and Shanghai Institutes for International Studies, at Rice University, April 3, 2009.
- “China’s Economic Jump and Its Effect on North Korea: Economic Structure Reform, Local to Local Competition and Energy Cooperation in Northeast Asia,” Sixth National Unification Advisory Council Chasede Conference, Houston, Texas, August 23, 2008.
- “China and Energy Security in Asia,” at “2008 Northeast Asia Energy Outlook,” Korea Economic Institute Policy Forum, Washington DC, May 6, 2008.
- “International Energy Research,” at “The Development Strategy of Energy Economy in the Era of High Oil Prices,” a symposium inaugurating the Center for Energy Economics and Strategy Studies at Fudan University, February 27, 2008.
- “Chinese NOCs and World Energy Markets,” presented report at three succeeding conferences: The Changing Role of National Oil Companies in International Energy Markets, at the Baker Institute for Public Policy, Rice University, March 1, 2007, and at the Carnegie Endowment for International Peace in Washington DC, on March 8, 2007, and in Dubai, March 12, 2007.
- “Chinese NOC Case Studies: CNPC, Sinopec and CNOOC,” presentation at The Role of National Oil Companies in World Energy Markets, the 2<sup>nd</sup> US-Japanese Research Workshop, Baker Institute for Public Policy, Petroleum Energy Center of Japan; Tokyo, Japan: December 1, 2006.
- “Creating Homelands: Advertising Real Estate and New Public Spaces in Chinese Cities,” presentation for panel, New Approaches to Transnational China, New England Conference of the Association of Asian Studies Annual Meeting, Dartmouth, Massachusetts: November 4, 2006.
- “US-China Strategic Relations, Energy Supply and Conservation Policy: Untapped Opportunities for Cooperation by Local Government, Industry and Academia,” paper prepared for inclusion in omnibus policy report, “For Security’s Sake: Can the United States Help Petroleum Rich Nations Avoid the Resource Curse,” at roundtable hosted by Nicholas Institute for Environmental Policy Solutions and the Environmental Change and Security Program, Woodrow Wilson Center International Center for Scholars, Washington DC, September 22, 2006.
- “US-China Cooperation on Energy: Supply Versus Demand,” presentation at International Energy Security and Cooperation, co-sponsored by the Shanghai Institute for International Studies and the National Institute for South China Sea Studies, Haikou, Hainan, June 1-2, 2006.
- “Sino-Japanese Cooperation on Energy Supply and Demand,” Japan-China Study Group, Institute for National Strategies Studies, National Defense University, Washington DC, April 18, 2006

- “US-China Cooperation on Energy Policy: Supply Versus Demand,” presentation at International Workshop on Energy Security: Implications for US-China Middle East Relations, co-sponsored by the Baker Institute for Public Policy and the Shanghai Institute for International Relations, at Rice University, April 3, 2006.
- “China’s Global Search for Energy Security: Chinese NOCs Entering the Global Economy,” presentation at The Energy Future: China and the U.S., sponsored by the William Davidson Institute, Ross School of Business, University of Michigan and the National Bureau of Asian Research, Ann Arbor, MI, February 8, 2006.
- “Public Spaces and Collective Identity: Advertising and the Transnational Public in Asia,” presentation at Changing Perceptions of the Public Sphere, a joint conference by the Focus Europe Program at Rice University and the University of Cambridge, Houston, December 15-16, 2005.
- “CNPC and CNOOC as Case Studies for the Study of NOCs,” presentation for The Role of National Oil Companies on World Energy Markets, a joint conference by the Japan Petroleum Economic Center and the Energy Forum of the Baker Institute for Public Policy at Rice University, Houston, December 15-16, 2005.
- “China’s Reform Experiences as a Model for the Marketization of Planned Economies,” presentation for Korea’s Development: Perspectives on North and South, a joint conference by the Baker Institute for Public Policy at Rice University and the ACDPU, Korea Society, Houston, November 6, 2005.
- “Energy Security in Northeast Asia: The Potential for Cooperation Among the Major Consuming Economies of China, Japan and the United States,” working paper published as part of “Energy Security: Implications for US-China-Middle East Relations,” a joint conference by the Baker Institute for Public Policy of Rice University and the Shanghai Institute for International Studies, Shanghai, July 18, 2005.
- “Unique Institutions of China’s Local Economic Development and Investment in China’s Local Energy Infrastructure,” presentation for the international workshop, Investment in China’s Local Energy Infrastructure, part of the Northeast Asia Energy Cooperation Workshop Program, Baker Institute for Public Policy, Rice University, Houston, April 11, 2005.
- "Political and Economic Implications of Visual Culture in China’s New Public Spaces," at Media Technology, Creative Industries, and Culture Significance: An International Joint Research Project on Contemporary Chinese Media, Culture and Society; sponsored by the Communication Arts Research Institute of Taipei and Kun Shan University of Technology, Taipei, September 24, 2004.
- "Consumer Culture in China's New Public Spaces," at the World Forum on China Studies 2004, Shanghai Academy of Social Sciences and Shanghai Municipal Government, Shanghai, August 20-21, 2004.
- "Privatization and Deregulation in China's Oil and Gas Industry," at Energy and Environmental Awareness in China, part of the Northeast Asia Energy Cooperation Workshop Program, sponsored by the Energy Forum of the Baker Institute for Public Policy at Rice University, Horizon Survey Research and the UFJ Research Institute of Japan, Houston, June 30, 2004.

- "Chinese Economic Growth and Energy Concerns," at Northeast Asia 3E Cooperation: A Mini-Workshop, Institute for Geopolitics and Economics of Energy, East China Normal University, Shanghai, June 21, 2004.
- "LNG Exports from Arun to Japan," with Fred von der Mehden, at The Geopolitics of Natural Gas, An International Conference sponsored by the Program and Energy and Sustainable Development at Stanford University and the Energy Forum of the Baker Institute for Public Policy at Rice University, Houston, May 26-27, 2004.
- "Visual Culture and Consumerism in China's New Public Spaces," at the 20th Annual Mansfield Conference, "Plunging Into the Sea: The Complex Face of Globalization In China," Mansfield Center, University of Montana, Missoula, April 19-20, 2004..
- "Privatization and Decentralization in China's Oil Industry," at the International Workshop on Energy and Energy Security Policy in Northeast Asia, UFJ Institute of Japan and Institute for Energy Economics of Japan, Tokyo, March 9, 2004.
- "Representations of Mobility and Religion in the Visual Culture of New Public Spaces in East Asia," at Religious Pilgrimage, Consumerism and Popular Culture in East Asia, an international workshop sponsored by the Transnational China Project, Baker Institute for Public Policy at Rice University, Houston, February 14, 2004.
- "Political and Economic Implications of Visual Culture in China's New Public Spaces ," with Richard J. Smith, at Contemporary Chinese Visual Culture, a symposium organized by Asian Studies at the Centre for the Study of Democracy, University of Westminster, London, February 6, 2004.
- "A Look at Advertisements in New Public Spaces in Globalizing Cities," at East Asian Cities: New Cultural & Ideological Formations: An International Workshop, sponsored by the Center for Contemporary Chinese Culture Studies at Shanghai University, the Department of Cultural Studies at Lingnan University in Hong Kong, and the Center for Transcultural Studies of Chicago, in Shanghai, December 6-7, 2003.
- "Some Bumps on the Road between tizhi and zhidu," paper and presentation at the Critical Policy Studies of China International Workshop, sponsored by the Harvard Policy Culture Project and the MIT International Committee of Critical Policy Studies of China, November 15-16, 2003.
- "Consumerism and Citizenship in New Public Spaces: China's Global Cities," as part of the "Cities in Transitional Economies and Urban China," panel at the Royal Geographic Society annual conference, London, September 4, 2003.
- "China's Oil and Gas Industry: Decentralization and Privatization," at the workshop China's Energy Reforms, UFJ Research Institute, Tokyo, March 11, 2003.
- "Institutional Change and Path Dependency: Energy Privatization in China and Brazil," at the symposium, Markets and Democracy, Department of Political Science, University of North Texas, Denton, November 9, 2002.

- “Consumer Citizens in New Public Spaces: Subway Advertising in Beijing, Hong Kong, Shanghai and Taipei,” paper prepared for international conference, *Media in China: Content, Consumption and Crisis*, Melbourne Institute of Asian Languages and Societies, University of Melbourne, Melbourne, October 2, 2002.
- “Consumer Citizenship, Spiritual Consumerism: Privatizing Propaganda and Commercial Advertising in the People’s Republic of China,” paper prepared for presentation at the panel, “Ceci n’est pas UNE CHINE: The treachery of images in Chinese civic political communication,” Association for Asian Studies annual meeting, Washington, DC, April 4, 2002; and for the conference, “Media in Transition: globalization and convergence, an international conference,” Massachusetts Institute of Technology, Boston, May 10-12, 2002.
- “Heralding Consumer Citizenship in Global Cities: Political and Commercial Subway Advertising in Taipei, Hong Kong and Shanghai,” paper prepared for presentation at the sixth annual conference on the History and Culture of Taiwan: Mapping a New Cultural Geography: Taipei, Hong Kong, and Shanghai as Global Cities, Washington University, St. Louis, May 3-4, 2002.
- “China’s Oil Diplomacy and Relations with the Middle East,” paper prepared for presentation at the U.S. – Japan Joint Meeting, *Energy Security in the Eastern Hemisphere: Impact of September 11 Terrorism on the Middle East Countries and its Implications to the Energy Security in Asia*, Petroleum Economy Center, Tokyo, Japan, March 27, 2002.
- “China’s Foreign Relations and Energy Policy: Japan, the United States and China’s Energy Infrastructure Development,” report and presentation prepared for Japan’s Ministry of Economy, Trade and Industry, Tokyo, October 2001.
- “Formalizing the Informal: Communal Property Forms and Privatization in China’s Economic Development,” presented at the annual meeting of the American Political Science Association, San Francisco, September 2, 2001.
- “Selling Spiritual Civilization to Consumer Citizens: The State and Political Advertising in Chinese Cities,” presentation at, *Advertising Culture and the Formation of Transnational and Local Identities in Asia*, conference sponsored by the Transnational China Project of the Baker Institute for Public Policy at Rice University and the Center for the Study of Globalization and Cultures, University of Hong Kong, Hong Kong, March 5-6, 2001.
- “Consumer Culture and Transnational Identities in Chinese Societies: An Analysis of Subway Advertisements from Beijing, Kaohsiung, Shanghai, Singapore and Taipei,” presentation made at, “Advertising Culture and the Formation of Transnational and Local Identities in Asia,” conference sponsored by the Transnational China Project, Baker Institute for Public Policy, and the Center for the Study of Globalization and Cultures, University of Hong Kong, Hong Kong, March 5-6, 2001.
- “Entrepreneurial Networks as State Builders in China’s Transition,” paper prepared for presentation at the Comparative Politics Division panel, “Creating the Ties That Bind: Networks as State Builders in China’s Transition Economy,” annual meeting of the American Political Science Association, Washington DC, September 2, 2000.

- “Long-Term Institutional Constraints on Privatization Experimentation: Evaluating Path Dependency in China and Other Formerly Central Planned Economies,” paper prepared for presentation at the Politics of Communist and Former Communist Countries Division panel, “New Institutionalism and Post-Communist Transition: the Asian Cases,” annual meeting of the American Political Science Association, Washington DC, September 2, 2000.
- “Chinese Political Literature as Comparative Social Science Teaching Materials: Issues and Obstacles,” paper presented at the Workshop on Teaching Chinese Language, Literature and Culture, Program in Asian Studies and Center for the Study of Culture, Rice University, May 20, 2000.
- “Emerging Federalism in Former Central Planned Economies: China's Oil Companies as Strategic Actors in Inter-Governmental Restructuring,” paper presented at the annual meeting of the American Political Science Association, Atlanta, September 1, 1999.
- “Defining and Enforcing Intellectual Property Rights in a Decentralizing China: Market Federalism and the Strategic Role of Local Government in Comparative Perspective,” paper presented at the Intellectual Property Rights and Economic Development in Shanghai and the Lower Yangzi Region Conference, sponsored by the National Bureau of Asian Research and the Shanghai Academy of Social Sciences, Shanghai, PRC, May 12, 1999.
- “Building Market Federalism: Corporations as Strategic Actors in Central-Local Governmental Relations,” paper presented at the annual meeting of the Public Choice Association, New Orleans, March 12, 1999.
- “Making History as We Please: Evaluating Path-Dependency in Theoretical and Empirical Studies of Privatization Programs,” paper presented at the workshop Non-Socialist Economic Planning in Republican China and Europe, 1935-1950: Ideas and Institutions, hosted by the Center for the Study of Culture at Rice University, January 30, 1999.
- “China’s Reform Experiences,” presentation at the North Korea Workshop” hosted by the James A. Baker III Institute for Public Policy, Rice University, December 5, 1998.
- “Selling the Pulpit: Exploring the Decentralization and Marketization of Political Advertising in China,” paper presented at the Annual Symposium of the Center for Chinese Studies, University of California, Berkeley, March 13, 1998.
- “Privatizing the Central Planned Economies: General Theories, Local Evidence and the Systematic Empirical Study of Informal Property Rights,” paper presented at the annual meeting of the American Political Science Association, Washington DC, August 31, 1997.
- “Localizing Property Rights: Changing Property Rights and Economic Development Zones in Shanghai,” presented at the Annual Meeting of the Chinese Political Scientists Association, SUNY-Buffalo, August 13, 1996, and at the annual meeting of the American Political Science Association, San Francisco, September 1, 1996.
- “Marketization and Government Credibility in Shanghai: Federalist and Local Corporatist Explanations,” presented at the annual meeting of the American Political Science Association, Chicago, August 31, 1995; and at the Association for Public Policy and Analysis Management Conference, Chicago, October 27, 1994.

"Credible Commitment and Property Rights: The Role of Strategic Interaction Between Political and Economic Actors," with Daniel Diermeier, Joel Ericson and Tim Frye, presented at the annual meeting of the American Political Science Association, Chicago, August 31, 1995.

"Testing Theories of Change in Property Rights Institutions: Privatization 'Experiments' in Shanghai, PRC," presented at the Midwest Asian Studies Association annual meeting, St. Louis, October 10, 1995; and at the annual meeting of the Southeastern Region Conference of the Association for Asian Studies, Knoxville, January 13, 1996.

"Market Reforms and Social Movements in Socialist Countries: A Game-Theoretic Approach," Washington University Department of Political Science Paper #162, presented at the Global Trends in Democratization Conference, Skidmore College, November 3-4, 1990;

### **Reviewer for Academic Publications and Research Grant Organizations:**

*American ethnologist*  
*American Political Science Review*  
*American Journal of Political Science*  
*Asia Policy* (also member of editorial board from 2005)  
*Comparative Politics*  
*Continuum: Journal of Media and Cultural Studies*  
*Cultural Anthropology*  
*Governance*  
*Issues and Studies*  
*Journal of Environmental Management*  
Longman-Addison Press  
National Science Foundation of the United States  
*Perspectives on Politics*  
*Problems of Post-Communism*  
Research Grants Council of Hong Kong  
Social Sciences and Humanities Research Council of Canada

### **Professional Organizational and Administrative Activities:**

Principal Organizer, "America and China: The Next Thirty Years," joint conference by the Baker Institute for Public Policy and the Shanghai Institutes for International Studies, at Rice University, April 3, 2009.

Discussant, "University in the World, the World in Asia," international research conference, Chao Center for Asian Studies, May 26-29, 2009.

Co-Organizer, "Science Collaborations Across Borders," international research conference of scientists and officials from China, Taiwan, Hong Kong, Singapore and the United States, Baker Institute for Public Policy, April 27-28, 2009.

Participating Scholar, Trialogue21 Meeting, Beijing Session, international policy research and dialogue between scholars, officials and industry experts from China, US and European Union, organized by the East West Institute and the China Institute for International Studies, in Beijing, October 30 to November 2, 2007.

- Organizer, “Workshop on Coastal Cities,” international research workshop with American and Chinese scholars from the Center for Race Religion and Urban Life and the Baker Institute for Public Policy at Rice University, the Shanghai Academy of Social Sciences, Horizon Survey Research and Fudan University, in Shanghai, September 17, 2007.
- Co-Principal Organizer and Moderator, “International Conference on Trends and Developments in the Middle East: Implications for U.S. and International Energy Security,” co-sponsored by the Baker Institute for Public Policy and the Shanghai Institute for International Relations, in Beijing, September 14, 2007.
- Co-Principal Researcher, Working with Social Sciences faculty and researchers to develop comparative surveys in US and Chinese cities as part of the Coastal Cities Project, Baker Institute for Public Policy and Center for Race Religion and Urban Life, Department of Sociology, Rice University, from Fall 2006.
- Panel Organizer, New Approaches to Transnational China, New England Conference of the Association of Asian Studies Annual Meeting, Dartmouth, Massachusetts: November 4, 2006.
- Co-Organizer and Presenter, “International Workshop on Energy Security: Implications for US-China Middle East Relations,” co-sponsored by the Baker Institute for Public Policy and the Shanghai Institute for International Relations, at Rice University, April 3, 2006.
- Panel Paper Discussant, Workshop on Gender, China and the WTO, sponsored by the *Journal of Feminist Economics*, Rice University, March 30, 2006.
- Co-Organizer and Panel Moderator, Houston Forum for Young Asian Specialists, a faculty, graduate student and American South/Southwest Region scholar, official and industry experts seminar, co-hosted by the Baker Institute for Public Policy and the Ministry of Foreign Affairs, Japan, at Rice University, March 18-19, 2006.
- Application Committee Reviewer, Boren Scholarship Program, Institute for International Education, March 2006.
- Application Committee Reviewer, The Next Generation: Leadership in Asian Affairs Program, the National Bureau of Asian Research, from 2005.
- Panel Paper Discussant, “The Third Space of the Commons: The Debate on the Public and Private,” a conference, part of the International Forum on Rural Development, People’s University, Beijing, July 29-31, 2005.
- Co-Organizer, “Energy Security: Implications for US-China-Middle East Relations,” a joint conference by the Baker Institute for Public Policy at Rice University and the Shanghai Institute for International Studies, Shanghai, July 18, 2005.
- Co-Organizer, “Shanghai and the Global Energy Economy,” a joint international conference, part of the Northeast Asia Energy Cooperation Workshop Program, Baker Institute for Public Policy at Rice University, the Shanghai Academy of Social Sciences and the Institute for Energy Economics Japan, at SASS, Shanghai, July 16, 2005.

- Organizer, the international workshop, Investment in China's Local Energy Infrastructure, part of the Northeast Asia Energy Cooperation Workshop Program, Baker Institute for Public Policy at Rice University and the Institute for Energy Economics Japan, Rice University, Houston, April 11, 2005
- External Reviewer, Global Studies Center, University of Wisconsin-Madison, April 2005.
- Organizer, "Energy and Environmental Awareness in China," international conference, part of the Northeast Asia Energy Cooperation Workshop Program, sponsored by the Energy Forum of the Baker Institute for Public Policy at Rice University and UFJ Research Institute of Japan, Houston, June 30, 2004.
- Organizer, "Religious Pilgrimage, Consumerism and Popular Culture in East Asia," an international workshop sponsored by the Transnational China Project of the Baker Institute for Public Policy at Rice University, Houston, February 14, 2004.
- Panel Chair and Paper Discussant, "Foreign Policy in East Asia," Southwest Political Science Association annual meeting, San Antonio, April 19, 2003.
- Co-Organizer, "China's Energy Reforms," international conference, with UFJ Research Institute, Tokyo, March 11, 2003, and associated international conference, "Oil Stockpiles and Regional Cooperation," with UFJ Research Institute of Japan, Boodc Research of China, and the Baker Institute for Public Policy at Rice University, Beijing, March 14, 2003.
- Panel Discussant, "Energy Supply and the Growth of Regional Economic Infrastructure: Case Studies in Taiwan History," International Association for Asian Studies annual meeting, Houston, February 18, 2003.
- Adviser, worked with Baker Institute Energy Program and Stanford University Center for Environmental Science and Policy to develop research framework for a comparative study of natural gas pipelines and their influence on the development of international security institutions, at the Geopolitics of Gas Study meeting, Stanford University, October 17-18, 2002
- Organizer, "Advertising Culture and the Formation of Transnational and Local Identities in Asia," interdisciplinary, international conference sponsored by the Transnational China Project of the Baker Institute for Public Policy at Rice University and the Center for the Study of Globalization and Cultures, University of Hong Kong, Hong Kong, March 5-6, 2001.
- Organizer, "Creating the Ties That Bind: Networks as State Builders in China's Transition Economy," Comparative Politics Division panel, annual meeting of the American Political Science Association in Washington DC, September 2, 2000.
- Organizer, "Chinese Values and Attitudes in the Era of Economic Reform: The State of the Art in Polling and Survey Research in the PRC," inter-disciplinary, international conference sponsored by the Transnational China Project of the Baker Institute for Public Policy at Rice University, Houston, March 4, 2000.
- Co-Organizer, with Carl Caldwell, Department of History, of the lecture series, Path-Dependency and Transition Economics, sponsored by the Baker Institute for Public Policy and the Center for the Study of Culture at Rice University, Houston, 1999-2001.

Organizer and panel chair, "The Cultural Foundations of Property Rights in Chinese Societies," international workshop bringing together political economists studying transition societies in order to create and exchange systematic, comparative measures of the influence of informal property forms; sponsored by the Transnational China Project of the Baker Institute for Public Policy at Rice University, Houston, March 20-21, 1999.

Co-Organizer, "The Pre-Socialist Origins of Planning in Europe and Republican China, 1935-1950: Ideas and Institutions," academic workshop hosted by the Center for the Study of Cultures at Rice University, Houston, January 30, 1999.

Panel Discussant, Political Economy Panel, UT-Austin Annual Gateway Conference on China Studies, Austin, April 1998.

Panel Chair, annual meeting of the Chinese Political Scientists Association, SUNY-Buffalo, Buffalo, August 13, 1996.

### **Professional Association Memberships:**

American Advertising Association  
American Political Science Association  
Association for Public Policy Analysis and Management  
Association for Asian Studies  
Association of Chinese Political Scientists  
International Association of Asian Studies  
National Association of African American Studies  
National Association of Hispanic and Latino Studies  
National Association of Native American Studies  
Public Choice Society

### **Research Positions:**

2004-Present Research Fellow, Baker Institute for Public Policy, Rice University.

2009 Visiting Scholar, Shanghai Institutes for International Studies, October-December.

2004 Co-Principal Investigator, Institute for Energy Economics, Japan/UFJ Research Institute: Energy Cooperation in Northeast Asia; two \$50,000 grants for research on cooperation between U.S. Japan and China on energy, energy security and environmental policy, 2004, 2005.

2001-Present Co-Principal Investigator, Consumer Citizenship: Marketing Civil Society in a Transnational China; Transnational China Project, James A. Baker III Institute for Public Policy, Rice University; research grant funded by the Henry R. Luce Foundation.

1997-2004 Senior Researcher in Asian Politics and Economics, James A. Baker III Institute for Public Policy, Rice University.

1995-1996 Research Assistant to Itai Sened, Department of Political Science, Washington University in St. Louis; researched for *A Theory of Property Rights*, Cambridge University Press, 1999.

- 1992-94      Researcher, Comparative Property Rights Project, Department of Political Science, University of Rochester; comparing changes in property systems in the former centralist planned economies under William Riker and David Weimer, with results published in *The Political Economy of Property Rights*, Cambridge University Press, 1997.
- 1990-91      Research Assistant to Jack Knight, Department of Political Science, Washington University in St. Louis; researched for *Institutions and Social Conflict*, Cambridge University Press, 1992.
- 1988-89      Research Assistant to Senator Thomas Eagleton, Department of Political Science, Washington University in St. Louis; researched for *Issues in Business and Government*, Prentice-Hall, 1990.
- 1986-1988    Archivist, *Newsweek*, Beijing Bureau, Beijing, PRC.

### **Teaching Positions:**

- 2007-Present    Faculty, Glasscock School for Continuing Studies, Rice University, teaching MLS 512, "Transnational China," as part of the master of liberal studies program.
- 2004-Present    Professor of the Practice in Humanities, Asian Studies Program, Rice University, teaching Asia 360, "Transnational China: China and the Chinese Diaspora" and co-teaching Asia 212, "Contemporary Asian Affairs".
- 2001-2004      Lecturer, Asian Studies, Rice University, teaching ASIA 360, "Transnational China: China and the Chinese Diaspora" and History/Anthropology 220, "Contemporary Chinese Culture".
- 2001-2003      Visiting Lecturer, University Mobility in Asia Pacific Summer Program, Kyushu University, Fukuoka, Japan; taught "Globalization and Contemporary China," interdisciplinary intensive-study course to students from Asia and North America.
- 1996-2001      Lecturer, Department of Political Science, Rice University; taught POLS 361 "Comparative Post-Communist Systems," POLS 454 "Revolutionary Movements," POLS 353 "Chinese Politics" and POLS 458 "Privatization and Property Rights".
- 1996            Instructor, Washington University, University College; taught POLS 102, "Introduction to Comparative Politics."
- 1995-1996      Teaching Assistant, Washington University, Social Thought and Analysis Program, STA 254, "Introduction to Quantitative Methods" taught by Alastair Smith.
- 1990-1991      Instructor, Washington University, University College, Washington University; co-taught POLS 2021, "Introduction to Political Philosophy" and POLS 378, "Politics of Asian Societies".
- 1989-90        Teaching Assistant, Washington University, Department of Political Science, for POLS 374 "American Foreign Policy," taught by Steve Stedman, and POLS 214, and "Introduction to International Relations and International Political Economy," taught by Andrew Sobel.

1985-1988 Foreign Lecturer, Beijing Normal University, Graduate School, Department of Foreign Languages, taught academic writing composition.

### **Academic Awards, Fellowships and Scholarships:**

- \* Fellowship, Graduate School of Arts and Sciences, Washington University in St. Louis, 1994-1995.
- \* Dissertation Fellowship, Center in Political Economy, Washington University in St. Louis, 1993-1994.
- \* Pre-Doctoral Research Fellowship, Comparative Property Rights Project, Wallis Institute of Political Economy, University of Rochester, 1992-1994.
- \* Fellowship, U.S. Department of Education Foreign Language and Area Studies (FLAS), Washington University Center for Asian Studies, 1991-1992.
- \* Tuition Scholarship, Middlebury College, Summer 1989.
- \* Summer Language Study Scholarship, Department of Political Science, Washington University, Summer 1989.
- \* Dean's List Scholarships, Ohio University, 1983-1985.

### **Language Abilities:**

Native English speaker with spoken, listening and reading fluency in Mandarin Chinese (and experience with Beijing and Shanghai dialects).

### **Rice University Service Activities:**

Investigator, study tour of Taiwanese universities and policy organizations, organized by the Ministry of Foreign Affairs, R.O.C., July 3-10, 2009.

Member, Leaders Rice, a faculty and administrator development program, Rice University, from 2008.

Member, Search Committee for Director of Chao Center for Asian Studies, Rice University, 2008.

Member, Search Committee for Vice-Provost for Research, Rice University, 2006-2007.

Member, Search Committee for Lecturer in Chinese, Center for the Study of Languages, Rice University, 2007.

Co-Organizer and co-sponsor, Asian Film Festival, Rice University, February 2007, February 2008.

Co-Chair, Asian Studies Advisory Board, Rice University, from Fall 2006.

Member, Academic Advisory Board for Office of International Programs, from Fall 2006.

Member, Scholarships and Fellowships Faculty Committee, from Fall 2006.

Faculty Advisor, Century Scholars Program, Rice University, from Fall 2006.

Faculty Lecturer on Chinese Popular Culture and Media, Rice University Glasscock School of Continuing Studies Lecture Series at the Hallmark, October 25, 2006.

Member, Search Committee for Director of Office of International Opportunities, Office of the Dean of Undergraduates, Rice University, June 2006.

Panelist, "China and the Middle East: Energy and Politics," roundtable discussion organized by the Baker Institute Student Forum, April 21, 2006.

Faculty Lecturer on Chinese-Japan relations, part of "Topics for Global Leadership in Technology" seminar for Rice University and University of Pittsburgh engineering students touring Chinese and Japanese universities and corporate research laboratories as part of the INNOVATE program, February 15, 2006.

Member, Center for the Study of the Presidency and Truman Fellowship application review committees, Rice University, Spring 2006.

Member, Korean and Italian Lectureship Search Committee, Center for the Study of Languages, Rice University, Fall 2005 and Spring 2006.

Investigator, study tour of universities, policy think tanks and government agencies in Taiwan to explore scholarly exchange with Rice University, sponsored by R.O.C. Ministry of Education, December 2005.

Member, China International Committee, President's Office, Rice University, from Fall 2005.

Faculty Recommender, Dragon Foundation of Hong Kong's Dragon 100 Tour, Spring 2005, Spring 2006.

Faculty Lecturer, Rice Alumni Association China Tour, October 2005, October 2000.

Guest lecturer on Chinese politics, economics, in Rice University courses: Asia 211; Economics 450, Engineering 205, History 220, 342; Management 668 and Jones School of Management's MBA/EMBA program orientation sessions.

Member, Center for the Study of Languages Directorship Search Committee, Spring 2005.

Member, Study Abroad Scholarship Review Committee, Spring 2005, Spring 2006.

Director, Asian Studies Program, School of Humanities, Rice University, since July 2004.

Faculty Liaison, Shenzhen Municipal Government, Houston-Shenzhen Sister City Association and Rice University visiting scholar program, 2004-2005.

Coordinator, Global Studies Initiative Faculty Steering Committee, from September 2002.

Faculty Advisor, *Macrocosm* (Rice University undergraduate public affairs journal), 1999-2000.

Faculty Associate, Hanszen College, Rice University, 1998-2003.

Faculty Lecturer on China's Economic and Political Reforms, Rice University Alumni College, April 1999.

Faculty Lecturer on China's Foreign Relations, Rice University Summit of the Minds, February 1998.

#### **Public Education Lectures on Personal Research Areas:**

Lecturer, "Energy and Oil: US-China Relations and Business," at the China Town Hall, organized by National Committee on US-China Relations and the International Trade Center, Houston, December 8, 2009.

Lecturer, "Spotlight on the Middle Baker Institute: Persuading the Transnational Chinese Middle Class," Rice University Continuing Studies Program, September 30, 2009.

Lecturer, "Sino-American Cooperation on Energy and Energy Security," CNPC delegation training session, University of Houston, August 18, 2008.

Lecturer, "China's Energy Policy Formation," prepared for Foreign and Civil Service Officer Energy Industry Training Session, Petroleum Equipment Service Association, Houston, July 23, 2008.

Lecturer, "Sino-American Cooperation on Energy and Energy Security," People's Republic of China Consulate in Houston, June 4, 2008.

Lecturer, "China: National Energy Policy Formation," for Overseas Security Advisory Council Energy Sector Seminar, Houston, Texas, May 29, 2008.

Lecturer, "Globalization and Chinese Popular Culture," Rice University Alumni College Weekend, February 22, 2008.

Lecturer, "Competition for Energy Supplies: Growth in Asia," for "United States Energy in a Global Context," 170<sup>th</sup> annual meeting of the Texas Philosophical Society, Rice University, December 8, 2007.

Lecturer, "U.S. China Relations and the Interaction of Business & Government Delegations with Host Institutions in the U.S.," prepared for Sixth Educational Protocol Conference, Houston Protocol Alliance, Houston, Texas, August 17, 2007.

Lecturer, "China's Impact on the Energy Industry," prepared for Foreign and Civil Service Officer Energy Industry Training Session, Petroleum Equipment Service Association, Houston, July 27, 2007.

Faculty Lecturer, on Contemporary Chinese History and Affairs, Rice University Faculty Development Institute on East Asia, seminars for Houston area high school teachers, in collaboration with NCTA and Columbia University's East Asia Institute, February 24, 2007.

Lecturer, on reforms in China's energy industry, Naval War College, February 12, 2007.

Lecturer, Brown-Bag Discussion Session on China's Energy Policies, American Enterprise Institute, Washington DC, October 23, 2006.

- Lecturer, “Chinese National Oil Companies and the Quest for Energy Security: Popular Perceptions,” prepared for Foreign and Civil Service Officer Energy Industry Training Session, Petroleum Equipment Service Association, Houston, July 28, 2006.
- Academic Advisory Board Member Briefing, “Chinese Popular Perceptions and Energy Security,” for China’s Pursuit of Energy Security: Attitudes and Behavior, US-China Working Group of the United States Congress, June 9, 2006.
- Faculty Temporary Liaison, worked with Houston Mayor’s Office on Volunteer Initiatives to invite Rice students to attend National Coalition for Asian Pacific American Community Development annual conference, May 18-20, 2006.
- Lecturer, “Globalization and a Transnational Chinese Middle Class,” presentation for China: Risks, Rewards and How to Win, US Department of Commerce, Houston, Texas, May 15-17, 2006.
- Co-Presenter on Chinese NOC’s and Energy Security Policy Formation, “China Task Force – Toward a Progressive Policy for US-China Relations,” Center for American Progress, Washington, DC, April 17, 2006.
- Faculty Lecturer, “Globalization and Chinese Popular Culture,” Modern China Lecture Series, Rice University’s Glasscock School of Continuing Studies, March 30, 2006.
- Panel Moderator, Houston Forum for Young Asian Specialists 2006, a retreat/seminar discussing US-China-Japan relations, of Rice University faculty, graduate student and American South/Southwest Region scholar, official and industry experts, co-hosted by the Baker Institute for Public Policy and the Ministry of Foreign Affairs, Japan, at Rice University, March 18-19, 2006.
- Faculty Liaison, introducing Asian Studies program to Gee Family Association annual scholarship dinner, Houston, March 5, 2006.
- Moderator for Expert Panel Discussion, Annual Ambassadors Forum, Asia Society of Texas, Houston, February 3, 2006.
- Faculty Lecturer on Contemporary Chinese History and Affairs, Rice University Faculty Development Institute on East Asia, seminars for Houston area high school teachers, in collaboration with NCTA and Columbia University’s East Asia Institute, January 28 and February 11, 2006.
- Academic Advisor, US-China Working Group, U.S. Congress, from 2005.
- Faculty Lecturer on Chinese Politics, Economics and Culture as part of three-week study tour of cities in China, in collaboration with Columbia University’s East Asia Institute, June 2002.
- Faculty Lecturer on the East Asian Economic Crisis, Columbia University’s East Asian Institute program in education for Houston high school teachers, Rice University, February 2002, May 2001.
- Expert Witness (pro bono) on Chinese political affairs and political asylum cases, working with the University of Houston Law Center, Immigration Clinic, from 1999, and numerous lawyers from Houston area law firms.
- Co-Author of written testimony and expert witness, with Amy Myers Jaffe, Hearing on China’s Energy Needs and Strategies, US-China Economic and Security Review Commission, U.S. Congress, Washington, DC, October 30, 2003.
- Academic Board Member, and Co-Chairman of Program Committee, Asia Society of Texas, from 1999.
- Member, Houston-Shenzhen Sister City Association, from 2000.
- Member, International Economic Development Coordinating Committee, Mayor’s Office, City of Houston, 2000-2004.
- Guest Contributor, *Houston Chronicle* editorial page, 1999 and 1997.

Expert Commentator on China's Political and Economic Reforms and US-China Relations for ABC Nightly News, CNN, and Houston CBS, PBS, Fox, UPN television affiliate news programs; Voice of America, Radio Australia, Radio Free Asia, KTRH radio, *The Wall Street Journal*, *The New York Times*, *China Daily*, *Beijing Review*, *Asahi Shimbun*, *Diamond Monthly (Japan)*, *Oil and Gas Journal*, *Fast Times*, *USA Today*, the *Atlanta Constitution-Journal*, Cox News Syndicate, Knight Ridder, *ESPN The Magazine*, *Houston Chronicle* and *Houston Press*, from 1997.

### **Community Outreach Talks:**

- Guest Speaker, "China: Fueling the Dragon," for Houston area K-12 educators, Energy Series, Houston World Affairs Council, September 30, 2006.
- Guest Speaker on China's integration with the global economy, Houston Bellaire/SW Rotary, April 13, 2006.
- Guest Speaker on contemporary Chinese affairs, The Breakfast Klub, River Oaks Country Club, Houston, February 15, 2006.
- Guest Speaker, "China Entering the Global Economy," Houston World Affairs Council, May 9, 2005.
- Guest Speaker, "The Global Resource Race – Geopolitical Implications of China's Full Participation," Council of Foreign Relations, Houston, April 7, 2005.
- Guest Speaker, "China's Energy Needs: Economic, Political and Social Drivers," at China Symposium of the Center for American and International Law, Dallas, Texas, June 14, 2005.
- Guest Speaker, "Tibet and the Global Economy," Houston Museum of Natural Science, October 12, 2004.
- Guest Speaker, "China, Energy and Environment Awareness," Environmental & Energy Systems Institute, Shell Center for Sustainability, Rice University, September 27, 2004.
- Guest Speaker on China's Consumer Culture, World President's Organization, Chicago, March 2004.
- Guest Speaker on China's WTO Accession and Reforms, China Studies Center, Clemson University, February 2004.
- Guest Speaker on Chinese History, St. John's Academy, Houston, April 2003.
- Guest Speaker on Chinese History, Houston Rockets, National Basketball Association, October 2002.
- Guest Speaker on China's WTO Accession and Reforms, Society for Intercultural Education, Training and Research, Houston, September 2002.
- Guest Speaker on China's WTO Accession, World Petrochemical Congress, Houston, March 20, 2002.
- Guest Speaker on China's Political and Economic Reforms, Houston Seminar, Fall 2000 and Fall 1997.
- Guest Speaker on Chinese Political Culture and Art, Houston Museum of Fine Arts, February 2000.
- Guest Speaker on China's Economic and Political Reforms, MSC Wiley Lecture Series, Texas A&M, February 2000.
- Guest Speaker on WTO and Chinese Political and Economic Reforms, "Texas Roundtable on China and WTO," Organized by the Asia Society, October 1999.
- Guest Speaker on China's Political and Economic Reforms, US-China People's Friendship Association, Houston Chapter, October 1999.
- Lecturer on Comparative Studies of Privatization and Business and Government Relations for a World Bank education program at the Sichuan Petroleum Administration, in Chengdu, Sichuan, PRC, August 1999.
- Guest Speaker on China's Economic Situation, Association for Chinese American Professionals annual meeting, May 1999.
- Guest Speaker on China's Transition Process in Comparative Perspective, Workshop on Transition in North Korea, Baker Institute, December 1998.
- Moderator, Chinese Eisenhower Fellow presentations at Asia Society of Houston, January 1997.
- Guest Speaker on China's Foreign Relations, Houston Rotary, 1997.

**Dissertation: Testing Theories of Institutional Change and Property Rights: Privatization Experiments and Economic Development Zones in China.**

How do property rights change? Field research on the origins and development of privatization experiments and economic development zones in China demonstrates that marketization reforms are most likely driven by bargaining between local governments and political and economic entrepreneurs. I argue that evidence from these important cases lends credibility to general theories of institutional change that view changes in property rights as the product of changes in the bargaining strengths of local-level political and economic actors. Some of the evidence seems to support theories of change in property rights that emphasize changes in factors of market competition and the presence of local-level norms of cooperation in the development of more efficient property forms, but this evidence clearly refutes theories which emphasize changes in the reform plans and formal laws and regulations of central and provincial organs. Marketization thus largely appears to be an informal, local process.

Field research for this project was funded by the Comparative Property Rights Project at the Wallis Institute of Political Economy, University of Rochester, and the Center in Political Economy, Washington University in St. Louis. Dissertation Committee: William Kirby, Jack Knight, chair, Douglass North, Roger Petersen and John Sprague.